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EXHIBIT A

**SETTLEMENT AGREEMENT BETWEEN SOUTHERN CALIFORNIA EDISON CO. AND SAFETY AND
ENFORCEMENT DIVISION OF THE CALIFORNIA PUBLIC UTILITIES COMMISSION RESOLVING
ORDER INSTITUTING INVESTIGATION I. 15-11-006**

Southern California Edison Company (SCE) and the Safety and Enforcement Division (SED) of the California Public Utilities Commission (CPUC or Commission) are hereinafter collectively referred to as the Settling Parties. On the following terms and conditions, the Settling Parties hereby agree to settle, resolve and dispose of all claims, allegations, liabilities and defenses within the scope of Commission proceeding I. 15-11-006 entitled “*Order Instituting Investigation on the Commission’s Own Motion into the Operations and Practices of Southern California Edison Company (U338E); Notice of Opportunity for Hearing; and Order to Show Cause Why the Commission Should not Impose Fines and Sanctions for the September 30, 2013 Incident at a Huntington Beach Underground Vault*” (“Huntington Beach OII” or “proceeding”). This Settlement Agreement (Settlement) shall only become effective upon approval by the Commission in a written decision that has become final and is no longer subject to appeal.

This Settlement is entered into as a compromise of disputed claims and defenses in order to minimize the time, expense and uncertainty of continued litigation. The Settling Parties agree to the following terms and conditions as a complete and final resolution of all claims made by SED and all defenses raised by SCE in this proceeding. This Settlement constitutes the sole agreement between the Settling Parties concerning the subject matter of this Settlement. SCE brought no claims against SED in this proceeding.

I. PARTIES

A. The parties to this Settlement are SED and SCE.

B. SED is a division of the Commission charged with enforcing compliance with the Public Utilities Code and other relevant utility laws as well as the Commission’s rules, regulations, order and decisions.

C. SCE is a public utility as defined by the California Public Utilities Code. It serves a population of nearly 14 million.

II. GENERAL RECITALS

On September 30, 2013, Brandon Orozco, a 5th step apprentice lineman employed by CAM Contractors (CAM), was fatally injured when he inadvertently removed an energized dead-break elbow while working in an SCE underground vault in Huntington Beach, California. CAM was a subcontractor to PAR Electrical Contractors (PAR). PAR was an SCE contractor. SED investigated this incident and submitted its investigation report in October 2015. On November 5, 2015, the Commission issued the Huntington Beach OII based upon the SED investigation and report.

The SED report concluded that SCE delegated safety responsibilities to its contractor in violation of Commission decisions and California law; failed to ensure that PAR and CAM did

their work safely; and refused to provide to SED a copy of its Investigation Report and list of documents reviewed on grounds of attorney-client privilege and the work product doctrine. SCE filed a Response to the Preliminary Scoping Memorandum on November 23, 2015 in which it asserted that SCE's own investigation report was prepared at the request of counsel for use in litigation and was therefore privileged, and it denied that utility use of contractors or subcontractors is an unlawful delegation of the duty to maintain a safe electric system.

The Assigned Administrative Law Judge and Assigned Commissioner directed the parties to meet and confer after the Prehearing Conference and submit a joint proposed schedule and discovery dispute status report by January 15, 2016. SED and SCE thereafter met and conferred and agreed that in lieu of demanding a copy of SCE's investigation report, SED would propound detailed factual discovery regarding the incident and SCE's corrective actions. SCE agreed to answer SED's data requests.

Beginning in early May 2016, SED and SCE held several meetings to discuss possible settlement of this proceeding; proposals and counterproposals were exchanged, eventually leading to this Settlement. The Settling Parties kept the Assigned ALJ advised of their progress, and the ALJ facilitated the negotiation process by extending deadlines for the submission of adversarial testimony and hearings.

Notwithstanding their respective litigation positions, SED and SCE, to minimize the time, expense, and uncertainty of further litigation, are prepared to compromise and conclude this proceeding on the terms and conditions set forth below.

III. AGREEMENT

A. SCE Payment of Penalty. SCE agrees that within 10 days of the effective date of this Settlement, it will deliver to SED a check in the amount of \$ 2.010 million payable to the General Fund of the State of California.

B. SCE Enhancements to Its Tier 1 Contractor Safety Program.¹ SCE agrees to make the following changes in its programs, policies and standards relating to Tier 1 contractor safety.² All of these changes will be implemented no later than the end of calendar year 2017. These changes do not apply to the decommissioning and dismantlement work at the SONGS facility.

1. Retention of a Third Party Administrator. SCE will retain a Third Party Administrator (TPA) which will be responsible for collecting data including safety data on potential contractors and subcontractors, evaluations of those firms according to criteria provided by SCE, and gathering updated information on contractors and subcontractors previously found

² SCE's current Contractor Safety Management Standard (ST-2) defines Tier 1 Contractual Work as "activities that, without implementation of appropriate safety measures, are potentially life threatening." Examples include air operations, trenching and excavation, demolition, activities requiring lockout/tagout, line-crew and energized electrical work, working at heights, and confined space entry. (ST-2 at 12.)

to be qualified for SCE work. Retention of the TPA does not relieve SCE from any safety responsibilities imposed on it by law or Commission rules, orders or decisions.

2. Expanded Criteria for Tier 1 Contractor and Subcontractor Qualification.

SCE will provide an expanded set of criteria which the TPA will use to evaluate the safety qualification of Tier 1 contractors and subcontractors. These expanded criteria will include at a minimum: Total Recordable Incident Rate (TRIR), Days Away, Restricted or Transferred (DART) rate, Experience Modification Rate (EMR), 5-year fatality history, and 3-year Occupational Health and Safety Administration (OSHA) repeat citation history. These criteria will be compared quantitatively to applicable industry averages. The TPA will also evaluate the contractor's Injury and Illness Prevention Program and reported injuries to the public on a qualitative basis. The TPA will conduct a yearly evaluation of all SCE Tier 1 contractors and subcontractors.

3. Safety Scoring Requirements. SCE will define three categories of Tier 1 contractor and subcontractor performance depending on the TPA evaluation which will produce an overall score based on historical safety performance and safety program review. Tier 1 contractors and subcontractors scoring high will generally be at or better than industry averages and will be eligible to be retained by SCE. Tier 1 contractors and subcontractors scoring low will generally be substantially worse than industry averages and shall not be retained by SCE. Tier 1 contractors and subcontractors scoring in the middle range will generally be worse than industry averages and shall be considered Conditional Contractors subject to additional review and other requirements in order to be retained by SCE. For a Tier 1 Conditional Contractor or subcontractor (whether currently performing work for SCE or seeking to perform work for SCE), Supply Management, in collaboration with the Edison Representative and the Organizational Unit (OU) Safety representative, shall ensure a Conditional Contractor Plan is developed and approved that includes the following:

- a. Written explanation of the substandard safety performance.
- b. Safety improvement plan that addresses the deficiencies in safety performance.
- c. Items (a) and (b) will be submitted to the OU Director and CHS Director for review and approval.
- d. Adherence to the Field Safety Observation requirements which SCE will specify for Conditional Contractors/Subcontractors.

4. Contractors and Subcontractors Requiring Expedited Retention. These are Tier 1 contractors or subcontractors whose retention is sufficiently urgent or specialized that in the opinion of SCE management there is neither time nor available data for the ordinary TPA evaluation process before the work is to begin.

- a. Expedited retention of Tier 1 contractors or subcontractors will require senior management approval from the appropriate Organizational Units.

b. A special field monitoring program for such contractors or subcontractors will remain in effect until the regular qualification process is complete.

5. Enhanced Edison Representative Field Safety Observations. SCE will increase the frequency of observing contracted field work by Edison Representatives or their designee.³ The criteria for determining the frequency and duration of such observing will include: the level of risk posed by the work, prior experience of the contractor and its workforce, prior safety record and TPA scoring. Written observation reports will be prepared and uploaded to a centralized data base for analysis and reporting.

6. Contractor Safety Quality Assessments (CSQAs). CSQAs will be performed on a periodic basis under the direction of Corporate Health & Safety (CHS) on Tier I contractors. A CSQA is an onsite and detailed assessment of whether the contractual safety commitments are actually implemented in the field using field observations and a review of contractor documentation and worker qualifications. Imminent hazards are addressed immediately and escalated if necessary. Safety concerns or issues found are documented and communicated to the contractor and the Edison Representative and an action plan for compliance and mitigation will be established.

7. Safety and Environmental Specialist (SES) Field Monitoring. These are individuals hired for full-time positions at SCE with safety specialty training to conduct field observations and assessments of Tier 1 contractors. The Transmission and Distribution Organizational Unit has four SES positions. Other Organizational Units will have personnel given SES training. SES written monitoring reports will be uploaded to a centralized data base for analysis and reporting.

8. Enterprise-Wide Application of Lessons Learned and Corrective Action. CH&S management will be responsible for reviewing lessons learned and corrective actions from incidents involving contractors and subcontractors for possible enterprise-wide application.

C. Reporting on Implementation of Safety Enhancements. SCE shall submit quarterly reports to SED regarding progress, implementation and performance of the above enhancements to SED for two years after the Settlement is final.

D. Admissions. SCE makes the following admissions:

1. SCE owns and operates the underground vault located near 16282 Tisbury Circle, Huntington Beach, California (Huntington Beach vault).

2. Ownership and operation of the Huntington Beach vault is a necessary part of SCE's business.

³ The Edison Representative is responsible for coordinating, scheduling, and monitoring the work performed under the purchase order/contract.

3. SCE contracted with PAR Electrical Contractors for certain work on its facilities, including the preparation of underground cables located in the Huntington Beach vault for lifespan testing.

4. PAR subcontracted the underground cable lifespan testing preparation work to CAM Contractors (CAM).

5. PAR did not seek SCE's approval to subcontract work to CAM Contractors. However, prior to the incident, SCE did become aware that CAM was a PAR subcontractor and did not object.

6. SCE did not manage or oversee the work performed by the CAM crew.

7. SCE did not evaluate Mr. Orozco's qualifications to perform work in accordance with accepted, safe practices.

8. SCE did not evaluate Mr. Orozco's familiarity with its electric facilities, schematic and plans.

9. SCE did not provide specific instructions to Mr. Orozco on how he should safely perform the work he was doing at the time the incident occurred.

10. Mr. Orozco performed work not in accordance with accepted, safe practices.

IV. OTHER MATTERS

A. The Settling Parties agree to seek expeditious approval of this Settlement and to use their reasonable best efforts to secure Commission approval of it without material change, including written filings, appearances, and other means as may be necessary to secure CPUC approval. The Settling Parties agree to actively and mutually defend this Settlement if its adoption is opposed by any other party in proceedings before the Commission. In accordance with Rule 12.6 of the Commission's Rules of Practice and Procedure, if this Settlement is not adopted by the Commission, its terms are inadmissible in any evidentiary hearing unless their admission is agreed to by the Settling Parties. In the event the Commission rejects or modifies the Settlement, Settling Parties reserve all rights set forth in Rule 12.4 of the Rules of Practice and Procedure.

B. The Settling Parties have bargained in good faith to reach the agreement set forth herein. The Settling Parties intend the Settlement to be interpreted as a unified, interrelated agreement. Both of the Settling Parties agree that no provision of this Settlement shall be construed against either of them because a particular party or its counsel drafted the provision. The representatives of the Settling Parties signing this Settlement are fully authorized to enter into this Settlement.

C. The rights conferred and obligations imposed on either of the Settling Parties by this Settlement shall inure to the benefit of or be binding on that Settling Party's successors in interest or assignees as if such successor or assignee was itself a party to this Settlement.

D. Should any dispute arise between the Settling Parties regarding the manner in which this Settlement or any term shall be implemented, the Settling Parties agree, prior to initiation of any other remedy, to work in good faith to resolve such differences in a manner consistent with both the express language and the intent of the Settling Parties in entering into this Settlement.

E. This Settlement may be executed in counterparts.

F. SED and SCE hereby agree that this Settlement is entered into as a compromise of disputed claims and defenses in order to minimize the time, expense and uncertainty of continued litigation in the Huntington Beach OIL.

G. Nothing in this Settlement relieves SCE from any safety responsibilities imposed on it by law or Commission rules, orders or decisions.

H. Nothing in this Settlement precludes SCE from claiming or SED from challenging claims by SCE in future proceedings that SCE's investigation reports, root cause analyses or similar documents related to its investigations of incidents are protected from disclosure to SED under the attorney-client privilege and the attorney-work product doctrine.

I. In reaching this Settlement, SED and SCE expect and intend that neither the fact of this settlement nor any of its specific contents will be admissible as evidence of fault or liability in any other proceeding before the Commission, any other administrative body or any court. In this regard, the Settling Parties are relying on Evidence Code section 1152(a) and Public Utilities Code section 315. Furthermore, such use of this Settlement or any of its contents in any other proceeding before the Commission, any other administrative body or any court would frustrate and interfere with the Commission's stated policy preference for settlements rather than litigated outcomes. *See* Pub. Util. Code § 1759(a).

IN WITNESS WHEREOF, the Settling Parties hereto have duly executed this Settlement.

Dated: 12/14/2016

Southern California Edison Co.

By: 

Douglas R. Bauder
Vice President

Dated: _____

Safety and Enforcement Division
California Public Utilities Commission

By: _____

Charlotte F. Terkeurst
Program Manager
Electric Safety and Reliability Branch

D. Should any dispute arise between the Settling Parties regarding the manner in which this Settlement or any term shall be implemented, the Settling Parties agree, prior to initiation of any other remedy, to work in good faith to resolve such differences in a manner consistent with both the express language and the intent of the Settling Parties in entering into this Settlement.

E. This Settlement may be executed in counterparts.

F. SED and SCE hereby agree that this Settlement is entered into as a compromise of disputed claims and defenses in order to minimize the time, expense and uncertainty of continued litigation in the Huntington Beach OII.

G. Nothing in this Settlement relieves SCE from any safety responsibilities imposed on it by law or Commission rules, orders or decisions.

H. Nothing in this Settlement precludes SCE from claiming or SED from challenging claims by SCE in future proceedings that SCE's investigation reports, root cause analyses or similar documents related to its investigations of incidents are protected from disclosure to SED under the attorney-client privilege and the attorney-work product doctrine.

I. In reaching this Settlement, SED and SCE expect and intend that neither the fact of this settlement nor any of its specific contents will be admissible as evidence of fault or liability in any other proceeding before the Commission, any other administrative body or any court. In this regard, the Settling Parties are relying on Evidence Code section 1152(a) and Public Utilities Code section 315. Furthermore, such use of this Settlement or any of its contents in any other proceeding before the Commission, any other administrative body or any court would frustrate and interfere with the Commission's stated policy preference for settlements rather than litigated outcomes. *See* Pub. Util. Code § 1759(a).

IN WITNESS WHEREOF, the Settling Parties hereto have duly executed this Settlement.

Dated: _____

Southern California Edison Co.


By: _____
Douglas R. Bauder
Vice President

Dated: 12/14/2016

Safety and Enforcement Division
California Public Utilities Commission

By: Charlotte F. TerKeurst
Charlotte F. TerKeurst
Program Manager
Electric Safety and Reliability Branch

EXHIBIT B

SCE	EHS	SAFETY	ST	Doc. No.	2	 <div>SOUTHERN CALIFORNIA EDISON[®] <small>An EDISON INTERNATIONAL[®] Company</small></div>
				Version	6	
Effective Date		January 2, 2017				
Supersedes		Contractor Safety Management Standard (v5)				
Contractor Safety Management Standard						

1.0 STANDARD STATEMENT

The purpose of the Contractor Safety Management Standard is to define Southern California Edison (SCE) safety-related requirements for SCE personnel conducting company business with Contractors/Subcontractors. SCE is committed to the safety and health of its workers, contractors, and the public.

Definitions of important terms used in this policy are provided under the “Definitions” section below. These terms are capitalized in this policy.

2.0 APPLICABILITY

This standard applies to all SCE employees and Supplemental Workers performing contractor management functions including Contractor/Subcontractor qualification, monitoring, and evaluation. This standard is designed to establish minimum contractor safety requirements and clear responsibilities for SCE employees engaged in contractor management.

While the entirety of this standard applies to Tier 1 contract work performed at SCE, only the following sections apply to Tier 2 contract work:

- Section 3.1.6 Safety Performance Policy
- Section 3.2.3 Handbook for Contractors Checklist
- Section 3.5 Incident Reporting and Cause Evaluation Requirements
- Section 3.6 Training
- Section 3.7 Recordkeeping, Item h

For all contracted, subcontracted, and chartered aircraft operations performed at SCE, the [Use of Company-Owned, Contract and Chartered Aircraft Policy](#) and the processes and procedures contained therein shall be adhered to in addition to this Contractor Safety Management Standard.

New contracts shall comply with this standard as of January 2, 2017. Existing contracts shall comply with this standard as of January 2, 2017 with the following exception: Existing contracts shall comply with Sections 3.1 and 3.2 (this exception does not include Sections 3.2.4, 3.2.5, and 3.2.6 under Section 3.2) within 90 days of initiation of formal review of performance and programs by the Third Party Administrator (TPA).

3.0 STANDARD DETAIL

3.1 Safety Qualification Requirements for Tier 1 Contractors and Subcontractors

3.1.1 Tier Determination

Compliance with this standard requires differentiating whether contracted work should be classified as Tier 1 or Tier 2. SCE classifies Tier 1 work as activities that, without the implementation of appropriate safety measures, are potentially hazardous or life-threatening. SCE classifies Tier 2 work as routine contractual work that is not typically considered hazardous. Distinguishing between the categories does not imply that Tier 2 contracted work is risk-free, but that the scope of work is categorized as being lower risk.

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The Edison Representative determines the Tier level of work to be contracted using [Appendix A: Tier Classification](#) and ensures that the Tier is included on the initial purchase requisition when submitted to Supply Management.

3.1.2 Safety Performance and Program Review of Tier 1 Contractors/Subcontractors

3.1.2.1 Tier 1 Safety Information Submittal

With Supply Management providing oversight, Contractors/Subcontractors who currently perform or intend to perform Tier 1 work for SCE shall submit safety performance data and programs to the TPA for review, classification, and monitoring. Prior to earning contract award, the Contractors/Subcontractors must complete the Tier 1 Safety Performance and Programs Review by the TPA.

3.1.2.2 Tier 1 Safety Performance and Programs Review

The TPA reviews and scores Tier 1 Contractor/Subcontractor safety performance based on data including, but not limited to, Total Recordable Incident Rate, Days Away, Restrictions and Transfers Rate, Experience Modification Rate, fatality history, Occupational Safety and Health Administration (OSHA) repeat citation history, and serious public events.

The TPA also reviews Tier 1 Contractor/Subcontractor safety programs against all applicable local, state, and federal regulations with which Contractors/Subcontractors are required to comply, including but not limited to California and Federal OSHA regulations and any additional requirements stipulated by SCE including those in SCE's safety standards and programs. The TPA additionally assesses Contractor adherence to industry best practices such as the implementation of a safety observation program or a supervisory plan for new employees.

3.1.2.3 Monitoring

The TPA monitors the safety performance data of Tier 1 Contractors/Subcontractors to track changes in classification status. Changes in classification status shall be communicated to Supply Management and to the Contractor/Subcontractor by the TPA.

3.1.3 Classification of Tier 1 Contractors

Following the initial review and during the ongoing monitoring of Tier 1 safety performance and programs, the Contractor/Subcontractor is classified into one of three categories indicating the degree to which requirements for safety performance and programs are met: Qualified, Conditional, and Unqualified.

- a. **Qualified Contractors** meet or exceed SCE established standards for safety performance and programs and are approved to perform Tier 1 work at SCE.
- b. **Conditional Contractors** exhibit areas that may be below SCE and/or industry standard in their safety performance but are qualified to perform work at SCE with the condition that additional mitigation procedures are in place to ensure safe work practices are followed. Conditional Contractors must meet SCE established standards for safety programs. Qualified Contractors currently performing work at SCE may be placed on Conditional Contractor status for a period of time based on their performance specific to their SCE contract.
- c. **Unqualified Contractors** do not meet SCE and/or industry standards for safety programs and/or safety performance and shall not perform work at SCE.

3.1.4 Conditional Contractor Requirements

When a Tier 1 Contractor/Subcontractor is categorized as Conditional, whether the Contractor/Subcontractor is currently performing work for SCE or intends to perform work for SCE, Supply Management, in collaboration with the Edison Representative or delegate and Operating Unit (OU) Safety, shall develop a mitigation plan for the Conditional Contractor/Subcontractor that includes the following elements:

- a. A written explanation of the substandard safety performance by the Conditional Contractor/Subcontractor
- b. A safety improvement plan that addresses the deficiencies in safety performance by the Conditional Contractor/Subcontractor
- c. A field safety observation plan that minimally meets the requirements for Conditional Contractors/Subcontractors described in Section 3.3.1 Field Safety Observations
- d. Rationale for using the Conditional Contractor
- e. Submittal of [Appendix B: Conditional Contractor Plan](#), which summarizes items a-c (above) and includes OU and Corporate Health and Safety (CHS) Directors' approval/denial of the mitigation plan

3.1.5 Expedited Safety Review

The Expedited Safety Review is used when Tier 1 Contractors/Subcontractors are needed for emergency purposes, such as an asset failure. In these cases, SCE may use the Expedited Safety Review process for qualifying the Contractor/Subcontractor. This will occur in parallel with the normal process of the TPA's review of the Contractor/Subcontractor. This process will not be used to circumvent or replace existing processes or scopes of work other than for an emergency condition that requires expedited onboarding. The OU Director, in collaboration with the Edison Representative and OU Safety, shall initiate contact with Supply Management to invoke the Expedited Safety Review procedure detailed in [Appendix C: Expedited Safety Review](#).

No review is necessary should a catastrophic or significant event occur that requires mutual aid and the emergency sharing of resources across jurisdictional boundaries.

3.1.6 Safety Performance Policy

At its sole discretion, SCE can immediately suspend or terminate a contract and/or suspend or discontinue work of a Contractor/Subcontractor due to poor or noncompliant safety performance and/or failure to adhere to SCE's governing policies, procedures, and regulations.

3.2 Contractor Orientation for Tier 1 Contractors

Within 15 calendar days after receipt of notice to proceed or in advance of the Tier 1 Contractor's start of work (whichever is sooner), the Edison Representative or delegate shall ensure a Contractor Orientation is performed in collaboration with the Contractor by ensuring the development/review of the following:

- a. [The Hazard Assessment \(Appendix D\)](#)
- b. The Project/Site-Specific EHS Plan
- c. The Handbook for Contractors Checklist (Contained in the EHS Handbook for Contractors)

These documents shall be reviewed with the Contractor Representative, signed by the Edison Representative and Contractor Representative prior to start of work, and archived in project records using [Appendix E: Contractor Orientation Review](#).

The Contractor Representative shall conduct a Contractor Orientation for their crews including Subcontractors, as well as any new employees/Subcontractors that begin work on the project subsequent to the original Contractor Orientation, and maintain a signed copy of the Contractor Orientation Review at the job site.

3.2.1 Hazard Assessment for Tier 1 Contract Work

The Edison Representative, who may collaborate with OU Safety, shall ensure that a Hazard Assessment of Tier 1 project work is completed using [Appendix D: Hazard Assessment](#) and included in the request for proposal (RFP) so the hazards associated with the work are clear to the bidders. The assessment identifies potential health and safety issues and hazard mitigation associated with the project and the project locations. Following contract award and prior to start of work, the Edison Representative shall ensure the Hazard Assessment includes input and a signature from the Contractor Representative.

Source Contractors are contractors who perform repetitive project work under an agreement that lasts for an extended period of time. For Tier 1 projects involving Source Contractors, the Hazard Assessment shall be included in the RFP and identify potential health and safety issues common to the *type of work* being performed. The Hazard Assessment may be used for multiple releases if it remains applicable to the scope of work. If not, a new Hazard Assessment shall be completed. The Edison Representative or delegate shall review the Hazard Assessment for Source Contractors on an annual basis and make updates as needed.

3.2.2 Project/Site-Specific EHS Plan

Non-Source Contractors performing Tier 1 work shall develop and submit a Project/Site-Specific EHS Plan that addresses each hazard identified in the Hazard Assessment and *that minimally includes* the following components:

- a. Identification of safety roles and responsibilities for Contractor employees
- b. Name and contact information of the Contractor's safety representative(s) and key personnel
- c. List of Subcontractors to be used and a description of the process for managing the Subcontractors
- d. Description of daily tailboard/job hazard analysis protocol
- e. Competent/qualified person qualifications and training records (as applicable)
- f. Emergency Action Plan including emergency medical contact information and evacuation procedures
- g. Planned method of job-site communications
- h. Any other site specific procedures as required

Tier 1 Source Contractors shall develop and submit a Project/Site-Specific EHS Plan that addresses each hazard identified in the Hazard Assessment for Source Contractors. The Source Contractor Project/Site-Specific EHS Plan shall *address* items a-h (above) with the site-specific items and hazards being identified and discussed through daily tailboarding and job hazard analysis. The Source Contractor Project/Site-Specific EHS Plan shall be completed by the Contractor Representative in conjunction with the Hazard Assessment at the start of the contract, reviewed annually, and updated when changes are made to the Hazard Assessment.

3.2.3 Handbook for Contractors Checklist

Tier 1 and Tier 2 Edison Representatives or delegate shall review the Handbook for Contractors Checklist, which is found in EHS Handbook for Contractors, with the Contractor Representative outlining requirements contained in the EHS Handbook for Contractors. The checklist review will provide opportunities for questions and dialogue regarding expectations of Contractors/Subcontractors working at SCE. The Edison Representative or delegate shall ensure the Handbook for Contractors Checklist is signed by the Edison Representative and the Contractor Representative.

3.2.4 Use of Subcontractors

Contractors are responsible for the Subcontractor and their work performance at all times when carrying out work for SCE. Tier 1 Contractors shall notify SCE of their intention to use Tier 1 Subcontractors during the Hazard Assessment process and at any time prior to commencement of work by a Subcontractor, which shall be documented in [Appendix D: Hazard Assessment](#). Failure to notify the Edison Representative of the use of a Subcontractor could result in the immediate dismissal of the Contractor from a project. The Tier 1 Subcontractors shall undergo the same qualification process as Tier 1 Contractors.

3.2.5 Onsite Supervisor Requirement

Tier 1 Contractors shall provide a supervisor/person in charge who is responsible for the general work area for Tier 1 work involving multi-employee crews or multi-employee job sites. This person shall ensure rules/policies pertaining to the job are followed, safe work practices are utilized, and that risks and hazards associated with the job are identified, discussed, and mitigated prior to commencing work. While the supervisor/person in charge is expected to identify and correct any unsafe work

practices or other performance deficiencies which may occur, employees are not required to be in the line of sight of supervisors at all times. The Contractor shall verify that this requirement will be met during the Hazard Assessment process. The Edison Representative or delegate shall review this requirement with the Contractor during the Contractor Orientation process and ensure compliance during Field Safety Observations.

3.2.6 Safety Professional Requirement

When Tier 1 projects exceed 50 employees, Contractors/Subcontractors shall provide a dedicated Safety Professional in support of the work. For larger Tier 1 projects involving 100 or more Contractor/Subcontractor employees, the Edison Representative and Contractor Representative shall determine the appropriate number of additional Safety Professionals required to support the project based on the nature of the tasks performed and the associated risks identified through the Hazard Assessment Process. SCE reserves the right to request that additional dedicated Safety Professionals support the project based on other factors associated with the scope of work such as the degree of complexity or risk associated with the project. The Edison Representative or delegate shall review this requirement with the Contractor Representative during the Contractor Orientation process and ensure compliance during Field Safety Observations.

3.3 FIELD MONITORING

3.3.1 Field Safety Observations

Field Safety Observations for Tier 1 Contractors, and Subcontractors if present, shall be performed by the Edison Representative or delegate to confirm that work is being carried out in a safe manner. The Contractor Orientation documents, listed a-c in Section 3.2, should be referenced to review the risks and hazards associated with the work, as well as to guide understanding of appropriate safety behaviors based on the nature of the work being performed. The Edison Representative or delegate performing observations shall document them within five (5) business days of completion using the EHSync Safety Observation Tool.

3.3.1.1 Frequency

The Edison Representative shall ensure Field Safety Observations are completed at least once per quarter for all Qualified Tier 1 Contractors/Subcontractors performing work during the contract period. The observations shall be performed at least monthly for Conditional Contractors or when one or more of the following high risk criteria are present:

- a. When a Contractor is new to SCE or is performing a type of work at SCE for the first time
- b. After a Serious Incident or a significant close call or injury
- c. After a regulatory visit resulting in a safety violation
- d. When there is evidence of potential regulatory noncompliance

Where one or more high risk criteria are present, the Field Safety Observations shall be performed for six months following the removal of criteria if there are no significant findings during that time.

3.3.1.2 At-Risk Observations

Hazards observed shall be addressed immediately and Stop Work shall be invoked if an imminent risk to workers or the public is observed. The Edison Representative or delegate shall communicate safety concerns to the Contractor and establish a timeline for compliance with the terms and conditions of the contract when necessary. If the Contractor does not remedy the situation to SCE's satisfaction, the Edison Representative will work with OU Leadership and Supply Management to determine whether the contract should be suspended or terminated, per the Safety Performance Policy.

3.3.2 Contractor Safety Quality Assurance Review

Contractor Safety Quality Assurance Reviews (CSQARs) shall be performed on Tier 1 Contractors on a periodic basis under the direction of CHS. A CSQAR is an onsite and detailed assessment of

whether the contractual safety commitments are actually implemented in the field using field observations and a review of Contractor documentation and worker qualifications. Imminent hazard are addressed immediately and escalated if necessary. Safety concerns or issues identified are documented and communicated to the Contractor and the Edison Representative and an action plan for compliance and mitigation is established. Documentation associated with the performance of CSQARs is maintained by the TPA.

3.4 Contractor Safety Forums

OUs with active Tier 1 Contractors shall ensure Contractor Safety Forums with SCE personnel and active Tier 1 Contractors are held at least once per year and shall maintain documentation of the forums. The purpose of the forums is to discuss relevant safety issues and maintain open lines of communication to ensure mutual safe work efforts. The OUs shall organize the forums and OU leadership shall facilitate the discussion that minimally includes the following elements:

- a. Best practices and industry challenges
- b. Contractor safety expectations and requirements, including the reinforcement of roles and responsibilities pertaining to this standard
- c. Lessons learned from incidents that have occurred

3.5 Incident Reporting and Cause Evaluation Requirements

The Edison Representative shall ensure that Contractor/Subcontractor safety incidents are reported and evaluated as stipulated in the EHS Handbook for Contractors.

3.6 Training

The Contractor Safety Management Standard computer based training (CBT) is required training for Edison Representatives and employees identified by OU Leadership as having responsibilities related to contractor safety. Edison Representatives, who are new to the role or functioning in a temporary capacity managing Tier 1 contracts, shall be trained to the Contractor Safety Management Standard CBT within thirty calendar days of their placement date. After initial implementation of this standard, Edison Representatives who manage Tier 1 contracts and Procurement Agents shall be trained to this standard on a biennial basis in conjunction with the Principles of Contract Management CBT schedule.

3.7 Recordkeeping

All Contractor Safety Management records shall be kept in accordance with the [SCE's Record Retention Schedule](#).

The TPA shall retain the following:

- a. Contractor/Subcontractor safety performance and program data and information
- b. Contractor/Subcontractor classification information
- c. [Appendix B: Conditional Contractor Plan](#)
- d. Documentation associated with the performance of CSQARs

Supply Management shall retain the following:

- e. Documentation and approval from [Expedited Safety Reviews \(Appendix C\)](#)

Edison Representatives shall retain the following documents in the project records in accordance with existing contract documentation requirements:

- f. Signed copy of the [Hazard Assessment \(Appendix D\)](#)
- g. Contractor's Project/Site-Specific EHS Plan
- h. Signed copy of the Handbook for Contractors Checklist
- i. Signed copy of the [Contractor Orientation Review Form \(Appendix E\)](#)
- j. Field Safety Observations
- k. Contractor safety incident submittals

4.0 ROLES AND RESPONSIBILITIES

The Roles and Responsibilities Section supplements this standard and the processes described above in Section 3.0 by providing a list of responsibilities by contractor safety management function. It is not intended to be referenced in place of the standard processes detailed above.

4.1 Corporate Health & Safety (CHS)

- Governs and maintains the Contractor Safety Management Standard and oversees its implementation.
- Governs and maintains the EHS Handbook for Contractors, job aids, and other documents associated with this standard.
- Serves as Edison Representative for the TPA.
- (CHS Director) approves/denies Conditional Contractor/Subcontractor plans using Appendix B: Conditional Contractor Plan.
- (CHS Director and VP over CHS) Collaborate with OU Director and OU VP to review and approve/deny requests for Expedited Safety Reviews and the associated Hazard Assessment.
- Ensures that CSQARs are performed.
- Reviews this standard and its components at least once every three years per the program review schedule and updates this standard as necessary.

4.2 Edison Representatives of Tier 1 Contractors

Qualification Requirements for Tier 1 Contractors/Subcontractors

- Identify Tier level of work to be performed on the initial purchase requisition.
- Collaborate with Supply Management and OU Safety to develop a mitigation plan for Conditional Contractors/Subcontractors.
- Collaborate with the Contractor Representative, during an Expedited Safety Review, to perform a Hazard Assessment (Appendix D) to identify and ensure mitigation of the hazards associated with the work to be performed.
- Ensure that they or a delegate are onsite at all times while the work is being performed, following an Expedited Safety Review, to ensure rules/policies pertaining to the job are followed, safe work practices are utilized, and that risks and hazards associated with the job are identified, discussed, and mitigated prior to commencing work.

Contractor Orientation for Tier 1 Contractors

- Ensure a Contractor Orientation is performed prior to the start of work on a project by a Tier 1 Contractor.
- Ensure that a Hazard Assessment of the Tier 1 project work is completed per the Contractor or Source Contractor process.
- Ensure the Contractor or Source Contractor submits a Project/Site-Specific EHS Plan as described in Section 3.2.2.
- Ensure a review of the Handbook for Contractors Checklist is completed.
- Ensure that Contractors identify whether a Subcontractor will be needed to complete a project during the Hazard Assessment process or at any other time prior to the Contractor onboarding the Subcontractor.
- Ensure the Onsite Supervisor Requirement is reviewed with the Tier 1 Contractor during the Hazard Assessment process and monitored for compliance during Field Safety Observations.
- Ensure that the Safety Professional Requirement is reviewed with the Tier 1 Contractor Representative during the Hazard Assessment process and monitored for compliance during field safety observations.
- Ensure the Hazard Assessment, Project/Site-Specific EHS Plan, Handbook for Contractors Checklist, and Contractor Orientation Review are archived in project records.

Field Monitoring

- Ensure that Field Safety Observations are performed for Tier 1 Contractors following the stipulated frequency.

- Ensure that Field Safety Observations are documented and submitted within five business days of completion in the EHSync Safety Observation Tool.
- Ensure that during Field Safety Observations, safety concerns are communicated to the Contractor Representative and addressed or escalated as needed.

Incident Reporting and Cause Evaluation Requirements

- Ensure that Contractor/Subcontractor safety incidents are submitted as well as any additionally required documentation as stipulated in the EHS Handbook for Contractors.

Training

- Complete the Contractor Safety Management Standard CBT on a biennial basis in conjunction with the Principles of Contract Management CBT schedule.
- Complete the Contractor Safety Management Standard CBT within 30 days of hire date when Edison Representatives are new to the role or assigned a temporary role.

Recordkeeping

- Retain the following documents in the project records in accordance with existing contract documentation requirements: Hazard Assessment, Contractor's Project/Site-Specific EHS Plan, Signed copy of the Handbook for Contractors Checklist, Contractor Orientation Review, Field Safety Observations, and contractor safety incident submittals.

4.4 OU Safety

- Provides assistance to Edison Representatives in determining Tier level of work that the Contractor will perform that is to be included on the initial purchase requisition.
- Provides assistance as needed to Edison Representatives in identifying safety requirements pertaining to the scope of work that are above and beyond California OSHA, Federal OSHA, and other regulatory requirements that are to be included on the initial purchase requisition for Tier 1 Contractors.
- Works with Supply Management and the Edison Representative to develop mitigation plans for Conditional Contractors/Subcontractors.
- Provides expertise in the event of an Expedited Safety Review.
- Provides assistance to the Edison Representative in developing the Hazard Assessment for Tier 1 project work.
- Provides assistance to Edison Representatives in the review of Project/Site-Specific EHS Plans developed by Tier 1 Contractors.
- Provides assistance during the Contractor Orientation process.
- Provides assistance in the performance of Field Safety Observations.

4.5 OU Leadership

- Ensures the requirements of this standard are fully implemented in their OU.
- (OU Director) approves/denies Conditional Contractor/Subcontractor plans using Appendix B: Conditional Contractor Plan.
- (OU Director) Initiates contact with the Supply Management Director to initiate the Expedited Safety Review procedure, if necessary.
- (OU Director and OU VP) Collaborates with CHS Director and VP over CHS to review and approve/deny requests for Expedited Safety Review and the Hazard Assessment performed.
- Ensures that the Contractor Orientation for Tier 1 Contractors and Field Monitoring requirements described in the Contractor Safety Management Standard are carried out following the approval of an Expedited Safety Review.
- Ensures at least one forum for Tier 1 Contractors is held annually and leads the discussion.
- Identifies OU employees who have responsibilities related to contractor safety that are required to take the Contractor Safety Management Standard CBT.
- Provides necessary support to Edison Representatives and OU Safety to be able to successfully carry out their roles and responsibilities as described in this standard.

4.6 Supply Management

- Provides oversight of Contractor/Subcontractor safety performance data and information collected for and in collaboration with the TPA.
- Provides oversight of the Contractor/Subcontractor qualification and classification processes.
- Oversees the collaboration with the Edison Representative and OU Safety to develop a mitigation plan for Conditional Contractors/Subcontractors, which is summarized and submitted to the OU and CHS Directors for review using Appendix B: Conditional Contractor Plan.
- Assists the OU in carrying out the Safety Performance Policy, when necessary.
- (Supply Management Director) Works with the OU to carry out the Expedited Safety Review procedure.
- Maintains documentation and approval from Expedited Safety Reviews.

4.7 Third Party Administrator (TPA)

- Collects relevant Tier 1 Contractor/Subcontractor safety data and programs.
- Reviews Tier 1 Contractor/Subcontractor safety data and programs and classifies the Contractor/Subcontractor based on the review into one of three categories: Qualified, Conditional, and Unqualified.
- Maintains the Tier 1 Contractor/Subcontractor qualification information.
- Monitors the Tier 1 Contractor/Subcontractor classifications on an ongoing basis and communicates changes to SCE and the Contractor/Subcontractor.
- Provides results of the review to Contractor/Subcontractor.
- Retains Contractor/Subcontractor safety performance and program data and information.
- Retains Contractor/Subcontractor classification information.
- Retains record of Directors' approval of Conditional Contractors/Subcontractors.
- Retains documentation associated with the performance of CSQARs.

4.8 Tier 1 Contractors

Qualification Requirements for Tier 1 Contractors/Subcontractors

- Submit required safety performance and program information to the TPA for review and classification.
- Submit requisite explanations and mitigation plans to Supply Management when classified as Conditional and where the intent is that the Contractor will perform work or is currently performing work for SCE as part of the Conditional Contractor Plan.
- Comply with all applicable local, state, and federal regulations as well as any additional requirements stipulated by SCE including those in SCE's safety standards and programs..
- (A Contractor Safety Professional) is onsite at all times while the work is being performed, following an Expedited Safety Review, to ensure rules/policies pertaining to the job are followed, safe work practices are utilized, and that risks and hazards associated with the job are identified, discussed, and mitigated prior to commencing work.

Contractor Orientation for Tier 1 Contractors

- Provide management and supervisory oversight of Contractor/Subcontractor employees.
- Provide safety oversight of the work being performed.
- Conduct a Contractor Orientation for their employees and any Subcontractors that begin work on the project subsequent to the original Contractor Orientation and maintain a signed copy of the Contractor Orientation Review at the job site.
- (Contractor Representatives) Collaborate with Edison Representatives to perform a Hazard Assessment (Appendix D) to identify and ensure mitigation of the hazards associated with the work to be performed during an Expedited Safety Review.
- Develop and submit a Project/Site-Specific EHS Plan that addresses the hazards identified in the Hazard Assessment and that minimally contains the components listed in Section 3.2.2, a-h under Project/Site-Specific EHS Plan.
- (Source Contractors) Develop and submit a Project/Site-Specific EHS Plan that addresses each hazard identified in the Hazard Assessment for Source Contractors and that *addresses* items a-h with site-specific information and hazards being identified and discussed through daily tailboarding and job

hazard analysis. Ensure this is completed in conjunction with the Hazard Assessment at the start of the contract, reviewed annually, and updated when changes are made to the Hazard Assessment.

- Are responsible for the Subcontractor and their work performance at all times when carrying out work for SCE.
- Provide notice to the Edison Representative of intention to use a Subcontractor during the Hazard Assessment process and prior to commencement of work by the Subcontractor.
- Ensure the Onsite Supervisor Requirement that Tier 1 Contractors provide an onsite supervisor/person responsible for all Tier 1 work is met.
- Ensure that where Tier 1 projects exceed 50 employees, a dedicated Safety Professional is maintained in support of the work and that collaboration with the Edison Representative occurs to determine the number of Safety Professionals needed to support Tier 1 projects exceeding 100 employees.
- (Contractor Representatives) Conduct a Contractor Orientation for their crews and any new employees/Subcontractors that begin work on the project subsequent to the original Contractor Orientation and maintain a signed copy of the Contractor Orientation Review at the job site.

Field Monitoring

- Take action to mitigate any hazards, risks, or safety concerns identified and communicated by SCE during Field Safety Observations or during any other method used to ensure safe work practices of the Contractor/Subcontractor.
- Collaborate with SCE personnel by providing requested documentation and answering relevant questions during CSQARs.

Contractor Safety Forums

- Attend and participate in Contractor Safety Forums as directed and organized by OU Leadership.

Incident Reporting and Cause Evaluation Requirements

- Ensure that Contractor/Subcontractor safety incidents are reported and evaluated as well as complete additionally required documentation as stipulated in the EHS Handbook for Contractors or by SCE.

5.0 DEFINITIONS

Contractor: The party entering into a contract to perform work for SCE. This term is also applicable to the Contractor's agent, person, or persons authorized to represent the Contractor, such as the Contractor's superintendent or foreman.

Conditional Contractors: Tier 1 Contractors who, following safety performance and program review by the TPA, exhibit areas that may be below SCE and/or industry standard in their safety performance but are qualified to perform work at SCE with the condition that additional mitigation procedures are in place to ensure safe work practices are followed.

Contractor Representative: The Contractor employee named in the contract or appointed by the Contractor to act on behalf of the Contractor.

Edison Representative: An SCE employee responsible for managing the work performed under a contract. The Edison Representative may designate a trained SCE point of contact who is familiar with the contract work being performed.

OU Safety: A safety group within an Operating Unit that has Safety Specialists who are safety consultants that are dedicated full time to Contractor safety.

Qualified Contractors: Tier 1 Contractors who, following safety performance and program review by the TPA, meet or exceed SCE established standards for safety performance and programs and are approved to perform Tier 1 work at SCE.

Safety Professional: A certified safety employee whose responsibility is solely that of ensuring safe work practices and compliance with safety and health regulations.

Serious Incident: Any injury or illness occurring in a place of employment or in connection with any employment which requires inpatient hospitalization for a period in excess of 24 hours for other than medical observation or in which an employee suffers a loss of any member of the body or suffers any serious degree of permanent disfigurement, but does not include any injury or illness or death caused by the commission of a Penal Code violation, except the violation of Section 385 of the Penal Code, or an accident on a public street or highway.

Source Contractor: A contractor who performs repetitive project work under an agreement that lasts for an extended period of time.

Subcontractors: A business or person employed by a Contractor to carry out specific parts of a contract for SCE as part of a larger project.

Supplemental Workers: A worker, who is not an employee, used to augment or support the Company workforce to meet business needs. There are four classifications of Supplemental Workers – Contingent Workers, Consultants, Contractors, and Professional Services.

Third Party Administrator (TPA): A professional service provider contracted by SCE to evaluate contractor safety performance and programs on behalf of SCE.

Tier 1: A designation assigned to contracted work activities that are high risk and, without implementation of appropriate safety measures, are potentially hazardous or life threatening.

Tier 2: A designation assigned to contracted work activities that are lower risk or routine in nature and not typically considered hazardous.

Unqualified Contractors: A Tier 1 Contractor who, following safety performance and program review by the TPA, does not meet SCE and/or industry standards for safety performance and/or safety programs and cannot perform work at SCE.

6.0 REFERENCES

External References

None

Internal References

EHS Handbook for Contractors (SCE-EHS-SAFETY-HB-1)
Company-Owned, Contract and Chartered Aircraft Standard

7.0 KEY CONTACTS

Operational Services, Corporate Health and Safety: [Johnny Parker](#), (909)730-4721

8.0 APPENDICES

[Appendix A: Tier Classification](#)
[Appendix B: Conditional Contractor Plan](#)
[Appendix C: Expedited Safety Review](#)
[Appendix D: Hazard Assessment](#)
[Appendix E: Contractor Orientation Review](#)

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Appendix A: Tier Classification



The purpose of this Appendix is to provide guidance on the Tier Classification of contract work to be performed, as compliance with this standard requires differentiating whether contracted work should be classified as Tier 1 or Tier 2.

Safety Tier 1 – A designation assigned to contracted work activities that are high risk and, without implementation of appropriate safety measures, are potentially hazardous or life threatening.

Safety Tier 2 – A designation assigned to contracted work activities that are lower risk or routine in nature and not typically considered hazardous.

If the contractual work includes any of the following, it is considered **Tier 1**:

- Operations above 6 feet that require the use of fall protection system(s)
- Welding and cutting operations
- Electrical work and work involving installation, operation, or maintenance of an electrical system circuit, or line, with the exception of changing light bulbs and equipment that can be serviced while unplugged
- Work requiring hazardous energy control and lockout tag-out procedures
- Work that involves cranes, hoisting, and rigging
- Helicopter operations to perform lifting and hoisting
- Work involving operation of heavy equipment
- Tree work including maintenance and removal operations that require the use of aerial lifts
- Confined space activities
- Radiographic testing or any activity that could generate ionizing radiation
- Roofing work
- Demolition work
- Excavation or other work that requires a dig permit
- Work that may require permitting from Cal/OSHA or other regulatory agencies
- Work requiring the use of explosives
- Work that may involve lead-based paint or asbestos-containing materials
- Work involving hazardous material use, transport, or disposal (to include refrigerants)
- Work involving environmental/hazardous material cleanup and decontamination
- Work that requires the installation, operation, or maintenance of the electrical system
- Work covered by the Construction Safety Orders and or Fed OSHA Construction Standards

This list is not all-inclusive. Contact your OU Safety group for additional guidance as needed.

Appendix B: Conditional Contractor Plan

Contractor Safety Management Standard

Appendix B: Conditional Contractor Plan



The purpose of this Appendix is to provide documentation and structure to support the review and approval/denial for a Conditional Contractor/Subcontractor to perform work at SCE. When a Contractor/Subcontractor is categorized as Conditional, Supply Management collaborates with the Edison Representative or delegate and OU Safety to develop a mitigation plan, which is summarized here and submitted to the OU and CHS Director for approval.

Project Name:		Edison Representative:	
Purchase Order #:		Project Location:	
Anticipated Start and Completion Dates:		Contractor Company:	
Operational Unit:		Contract Agent:	
Scope of the Project:			

1. Summary of the Explanation of Substandard Safety Performance
2. Summary of the Safety Improvement Plan
3. Field Safety Observation Schedule
4. Rationale for Using the Conditional Contractor/Subcontractor

By signing this document, the OU Director affirms that he or she has reviewed the Conditional Contractor mitigation plans and authorizes the Conditional Contractor/Subcontractor to perform work at SCE within the scope and using the mitigation measures outlined.

OU Director: (Printed Name): _____

(Signature): _____ Date: _____

By signing this document, the CHS Director affirms that he or she has reviewed the Conditional Contractor mitigation plans and authorizes the Conditional Contractor/Subcontractor to perform work at SCE within the scope and using the mitigation measures outlined.

CHS Director: (Printed Name): _____

(Signature): _____ Date: _____

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Appendix C: Expedited Safety Review



The purpose of this Appendix is to provide a procedure for utilizing the Expedited Safety Review when Tier 1 Contractors/Subcontractors are needed for emergency purposes, such as an asset failure, and where urgent timelines do not allow the contract to go through the normal qualification process.

- 1) The OU Director in charge of the work to be performed shall contact the Supply Management Director to initiate the procedure.
- 2) Supply Management shall ensure that in addition to the Expedited Safety Review, the Contractor/Subcontractor shall undergo qualification through the normal Third Party Administrator process concurrently.
- 3) The Edison Representative shall collaborate with the Contractor Representative to perform a Hazard Assessment (Appendix D) to identify and ensure mitigation of the hazards associated with the work to be performed.
- 4) The OU Director and VP in charge of the work to be performed shall collaborate with the CHS Director and the VP over CHS to review the Expedited Safety Review request and the Hazard Assessment and approve or deny the request.
- 5) If approved, the OU Leadership shall ensure that the Post-Award Project Management and Field Monitoring requirements described in the Contractor Safety Management Standard are carried out.
- 6) If approved, a dedicated Safety Professional provided by the Contractor and the Edison Representative or delegate shall be onsite at all times while the work is being performed to ensure rules/policies pertaining to the job are followed, safe work practices are utilized, and that risks and hazards associated with the job are identified, briefed, and mitigated prior to commencing work.
- 7) The work conditions of the Expedited Safety Review shall be in effect until work or the approval process is completed.

Appendix D: Hazard Assessment



The purpose of this Hazard Assessment is to identify potential health and safety issues and mitigate hazards associated with the project. The successful Contractor shall develop and submit a Project/Site-Specific EHS Plan that addresses the hazards identified in this Hazard Assessment.

Project Name:		Edison Representative:	
Purchase Order #:		Project Location:	
Anticipated Start and Completion Dates:		Contractor Company:	
Contractor Representative:	Phone:	Contractor's Safety Professional:	Phone:
Email:			
Scope of the Project:			
	Hazard	Potential Risk: Check if YES	Control Measures:
1. Construction Type			
A.	Underground Construction		
B.	Caissons and Cofferdams		
C.	Power Transmission/Distribution		
D.	Commercial Diving		
E.	Steel Erection		
F.	Demolition		
G.	Telecom		
H.	Vegetation Management		
I.	Civil Construction		
J.	Other		
2. Equipment Hazards			
A.	Heavy Equipment Safety		
B.	Highway Work Zones		
C.	Hand and Power Tools		
D.	Cranes		
E.	Derricks, Hoists, Elevators		
F.	Manlifts		
G.	Forklifts		

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H.	Powder-Actuated Tools		
I.	Other		
3. Site (project/job) or Facility Hazard			
A.	Confined Spaces		
B.	Clearance Procedures (LOTO)		
C.	Housekeeping & Sanitation		
D.	Illumination		
E.	Air Contaminates (ventilation)		
F.	Weather/Envir. Conditions		
G.	Contaminated Soil		
H.	Gas Pipeline Operations		
I.	Communications		
J.	Emergency Evacuation Route		
K.	Other		
4. Construction/Maintenance Hazards			
A.	Falls		
B.	Stairways and Ladders		
C.	Scaffolding		
D.	Electrical (Include Grounding)		
E.	Electric Arc Flash/Blast		
F.	Trenching		
G.	Excavation		
H.	Concrete or Masonry Work		
I.	Welding, Cutting, or Brazing		
J.	Flammable/Combustible Liquids		
K.	Blasting and Explosives		
L.	Manual Lifting		
M.	Material Handling & Rigging		
N.	Pedestrian Traffic Control		
O.	Vehicular Traffic Control		
P.	Jack & Bore Operations		
Q.	Horizontal Directional Drilling		
R.	Other		
5. Health Hazards (If any of the below are marked yes, the contractor must have a written Hazard Communication program)			
A.	Asbestos		
B.	Asphalt Fumes		
C.	Carbon Monoxide		
D.	Hazardous/Toxic Substances		
E.	Toxic Metals		
F.	Lead		
G.	Noise		
H.	Silica		

I.	Respiratory Hazards		
J.	Chromium VI		
K.	Heat Stress		
L.	Other		
6. Required Permits (specify in Control Measures whether SCE or contractor permit will be used):			
A.	Clearance Procedures (LOTO)		
B.	Confined Spaces		
C.	Hot Work		
D.	OSHA Trench & Excavation		
E.	OSHA Scaffolding		
F.	Other		
7. Required Personal Protective Equipment (PPE)			
A.	Head Protection (Hard Hats)		
B.	Hand Protection		
C.	Eye Protection		
D.	Arc Flash Clothing		
E.	Hearing Protection		
F.	Fall Protection		
G.	Personal Climbing Equipment		
H.	Footwear		
I.	Personal Flotation Devices		
J.	Respiratory		
K.	High Visibility Clothing		
L.	Other		
8. Air Operations			
A.	Air Operation		
B.	Human External Cargo		
C.	External Loads		
D.	Hot Fueling		
E.	Aviation Fatigue Program		
F.	Aviation Rigging Inspection		
G.	Helicopter Use Plan		
H.	Aviation Handbook Provided		

Items 9-14 to be completed by Contractor:

9. Other Hazards – Identify any other safety and health hazard not previously noted.

10. Subcontractors – List all Subcontractors that will be used on this project. Include an attachment that includes the following information for each Subcontractor: Company name; scope of work; and the Contractor Representative's name, phone number, and e-mail address. Note that prior to earning a contract award, Subcontractors must complete the Tier 1 Safety Performance and Programs Review by the TPA.

- 11. Onsite Supervisor Requirement** – Verify that the Contractor will provide a supervisor/person in charge who is responsible for the general area of Tier 1 work involving multi-employee work crews or multi-employee job sites per the Onsite Supervisor Requirement.
- 12. Safety Personnel Requirement** – Verify that where the Tier 1 projects exceed 50 Contractor/Subcontractor employees, the Contractor will provide a dedicated Safety Professional to support the work. For larger Tier 1 projects involving 100 or more Contractors/Subcontractors, collaborate with the Edison Representative to determine the appropriate number of Safety Professionals required to support the project considering the nature of the tasks performed and the associated risks.
- 13. New Employee Supervision and Training** – Verify the development and implementation of a plan to provide additional supervisory oversight for newly hired workers during their first 6 months of employment and for workers during the first 6 months following assignment to a new role (e.g. newly promoted lineman, supervisor, etc.). Verify that a formal training program has been developed and maintained which at a minimum includes orientation training for newly hired employees and periodic continuing training in relevant topics for all employees.
- 14. New to SCE/Work** – Are you new to SCE or performing this type of work at SCE for the first time? Y/N

By signing this document, the **Contractor Representative** affirms that he or she understands the items contained in the Hazard Assessment and will ensure compliance with the requirements and mitigation of the identified hazards.

Contractor Representative: (Printed Name): _____

(Signature): _____ Date: _____

By signing this document, the **Edison Representative** affirms that he or she reviewed this document with the Contractor Representative.

Edison Representative: (Printed Name): _____

(Signature): _____ Date _____

Appendix E: Contractor Orientation Review



The purpose of this Appendix is to provide a checklist that binds the documents reviewed during the Contractor Orientation and to ensure mutual understanding between SCE and the Contractor/Subcontractor regarding what is required to safely perform work at SCE. Checking the box pertaining to the Contractor Orientation item below indicates that the Edison Representative (or delegate) and the Contractor Representative completed the review of that item.

☐

Hazard Assessment

☐

Project/Site-Specific EHS Plan

☐

Handbook for Contractors Checklist

Contractor Representative: By signing this document, the Contractor Representative affirms that he or she

- a. Understands all requirements and mitigation techniques described within the above documents
- b. Shall ensure work is performed in accordance with the documents
- c. Shall review the documents with crews and any new employees/Subcontractors that begin work on the project
- d. Shall maintain a copy of the above documents with a signed copy of this form onsite

(Printed Name): _____

(Signature): _____ Date: _____

Edison Representative: By signing this document, the Edison Representative affirms that

- a. Collaboration, discussion, and agreement occurred with the Contractor Representative regarding the requirements and mitigation techniques described within the above documents
- b. A copy of the above documents with a signed copy of this form shall be maintained as part of project records and under fulfillment of the project records retention schedule


(Printed Name): _____

(Signature): _____ Date: _____

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EXHIBIT C

SCE	EHS	GN	MN	Doc. No.	1	 SOUTHERN CALIFORNIA EDISON® <small>An EDISON INTERNATIONAL® Company</small>
				Version	7	
Effective Date		1/2/17				
Supersedes		NA				
<h1>Environmental, Health and Safety Handbook for Contractors</h1>						

Corporate Health and Safety Corporate Handbook

SCE-EHS-SAFETY-HB-1

Approved by: Paul Jeske Date: 12/7/2016

*Paul Jeske
Director, Corporate Health and Safety*

Approved by: Ken Landrith Date: 12/12/2016

*Ken Landrith
Director, Corporate Supply Chain Management*

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1.0 INTRODUCTION

To consistently deliver the electricity that powers homes and businesses within our 50,000+ square miles of service territory, Southern California Edison (SCE) relies on qualified employees and Contractors. To that end, SCE is committed to protecting the health and safety of our employees, Contractors, and the public. Our goal is to achieve an injury-free workplace and to protect the environment while performing our operations. To achieve this goal, SCE has developed a comprehensive environmental, health and safety (EHS) management system that includes policies, programs, procedures, and other documents that explain our approach to continuously improve our EHS performance. This Environmental, Health and Safety Handbook for Contractors (EHS Handbook) is an integral part of the EHS management system and may be updated as necessary to mitigate EHS issues.

PURPOSE: This EHS Handbook has been given to you to:

- Provide general guidelines and standards expected for performance of contracted work in a safe manner and with due regard for protecting workers, the public, and the environment.
- Ensure compliance with federal, state, and local EHS requirements.
- Ensure compliance with any additional requirements stipulated by SCE, including those in SCE's safety standards programs.

Additional information applicable to contract activities for specific SCE business organizations and/or site-specific policies and practices may be obtained from the Edison Representative. Further, in the event anything contained in this EHS Handbook is inconsistent with or contradicts the Contractor's existing EHS policies, procedures, practices, plans, or other similar documents, the Contractor shall promptly notify and discuss such inconsistencies or contradictions with the Edison Representative or delegate and obtain resolution prior to commencement of any work.

In this EHS Handbook, the term "OSHA" refers to either the California Division of Occupational Safety and Health (Cal/OSHA) or the Federal Occupational Safety and Health Administration (OSHA) as applicable. The use of the term "Edison Representative" (see Definitions) is used throughout this EHS Handbook to identify the person identified as such in a purchase order/contract.

Nothing in this EHS Handbook is intended to create an employment relationship between SCE and any Contractor or Subcontractor personnel. Contractors and Subcontractors remain solely responsible for any and all employment obligations to their workers, and all such workers are employees only of the entity or person that hired them.

1.1 SCE's Environmental, Health and Safety Policy

The SCE EHS Policy mandates compliance with SCE programs, procedures, and standards, as well as applicable EHS laws and regulations. Contractors are expected to establish similar requirements within their organizations. The policy states:

"Edison International and its subsidiaries (the "Company") are committed to assuring the safety and health of its employees and the public, and protecting the environment. Southern California Edison administers and publishes Environmental, Health and Safety (EHS) programs, procedures, and standards as necessary to implement this policy in compliance with applicable EHS laws and regulations. You are required to comply with the Company's EHS programs, procedures, and standards that apply to your job to assure environmental compliance and the health and safety of one another and members of the public."

1.2 Safety Performance Policy

At its sole discretion, SCE can immediately suspend or terminate a contract and/or suspend or discontinue work of a Contractor/Subcontractor due to poor or non-compliant safety performance and/or failure to adhere to SCE's governing policies and procedures, and to applicable regulations.

1.3 Principles of Operation

SCE performs its work based on the following principles of operation:

- We integrate EHS protection and prevention into our work processes.
- We identify and mitigate hazards and unsafe conditions before we start the work.
- No job is considered successfully completed, if there is an injury or an environmental event.
- If the job cannot be completed safely, it must be stopped.
- We watch out for each other and speak out to protect ourselves and others from injury and to protect the environment.
- We always follow regulatory requirements and safety rules.

1.4 Contractor Safety Management Standard

SCE's Contractor Safety Management Standard establishes uniform contractor safety requirements with additional safety protocols for contracts involving Tier 1 and Tier 2 work (see Definitions).

Major program elements include:

- Safety performance and program review and qualification by a Third Party Administrator (TPA) for Contractors and Subcontractors.
- Adoption of SCE safety requirements that exceed existing regulatory requirements.
- A Contractor Orientation performed with Tier 1 Contractors that includes development and review of a Hazard Assessment, Project-/Site-Specific Safety Plan, and Handbook for Contractors Checklist.
- Supervisory and safety professional oversight requirements.
- Ongoing evaluation of Contractors who perform Tier 1 work, or contracted work activities that are high-risk, and without implementation of appropriate safety measures, are potentially hazardous or life-threatening.
- Field monitoring procedures, including safety observations and Contractor Safety Quality Assurance Reviews (CSQARs).
- Mitigation procedures for unsafe work practices or conditions.
- Regular Contractor Forums to engage our vendors and continuously improve SCE's safety system.

2.0 GENERAL EXPECTATIONS

SCE expects each Contractor to ensure their workers, subcontractor workers, and agents know of and comply with environmental and safety regulations and SCE requirements.

2.1 Applicability

The requirements and expectations set forth in this EHS Handbook apply to all Contractors, their Subcontractors, and agents.

SCE employees shall adhere to the requirements in Section 6.0 – Incident Reporting, and for all contracted, subcontracted, and chartered aircraft operations performed at SCE, the use of Company-owned, Contract, and Chartered Aircraft Policy and the processes and procedures contained therein shall be adhered to in addition to this EHS Handbook.

New contracts shall comply with this EHS Handbook as of January 2, 2017. Existing contracts shall comply with this handbook as of January 2, 2017, with the exception of Section 2.2, where they shall comply with the standards within 90 days of initiation of formal review of performance and programs by the TPA.

2.2 Safety Performance and Program Review of Tier 1 Contractors/Subcontractors

Contractors/Subcontractors who currently perform or intend to perform Tier 1 work for SCE shall submit safety performance data and programs to the TPA for review, classification, and monitoring. Prior to earning contract award, Contractors/Subcontractors must complete the Tier 1 Safety Performance and Programs Review by the TPA.

The TPA reviews and scores Tier 1 Contractor/Subcontractor safety programs against all applicable local, state, and federal regulations with which Contractors/Subcontractors are required to comply, including, but not limited to, Cal/OSHA and Federal OSHA regulations and any additional requirement stipulated by SCE, including those in SCE's safety standards and programs.

Following initial review and during the ongoing monitoring of the Tier 1 safety performance and programs, the Contractor/Subcontractor is classified into one of three categories indicating the degree to which requirements for safety performance and programs are met: Qualified, Conditional, and Unqualified.

- Qualified Contractors meet or exceed SCE-established standards for safety performance and programs and are approved to perform Tier 1 work at SCE.
- Conditional Contractors exhibit areas that may be below SCE and/or industry standards in their safety performance, but are qualified to perform work at SCE, with the condition that additional mitigation procedures are in place to ensure safe work practices are followed. Conditional Contractors must meet SCE-established standards for the safety program. Qualified Contractors currently performing work at SCE may be placed on Conditional Contractor status for a period of time based on their performance specific to their SCE contract.
- Unqualified Contractors do not meet SCE and/or industry standards for safety programs and/or safety performance, and shall not perform work at SCE.

Conditional Contractors shall submit requisite explanations and mitigation plans to Supply Chain Management where the intent is that the Contractor shall perform work or is currently performing work for SCE in fulfillment of the Conditional Contractor Plan.

2.3 Expectations for Tier 1 and Tier 2 Contractors

In addition to the provisions in other sections of this EHS Handbook, Tier 1 and Tier 2 Contractors shall:

- Take all prudent and proper EHS precautions to protect SCE employees and property, other exposed persons and property, and the environment.
- Comply with all applicable SCE EHS standards, as well as any additional requirements provided by the Edison Representative.
- Comply with applicable federal, state, local, and any other applicable EHS laws and regulations issued or imposed by any governmental authority, as well as any additional requirements provided by SCE.
- Prior to commencement of any work, review job-specific hazards and associated precautions, procedures, and mitigation measures. This can be accomplished by developing a Job Safety Analysis (JSA), Activity Hazard Analysis (AHA), etc., and communicating with its employees and subcontractors.
- Have available, at the work location, a copy of the Contractor's written Safety Program, including, but not limited to, the Site-Specific Injury and Illness Prevention Plan (IPP), Code of Safe Practices for construction work, and written Hazard Communication Program, as applicable.
- Ensure that their employees and their subcontractors receive EHS training as required by applicable federal, state, and local regulations and maintain documentation of such training.
- Maintain copies of permits, licenses, registrations, certifications, etc., as required by applicable federal, state, and local regulations, and SCE contractual obligations.
- Ensure periodic EHS inspections are performed to identify and correct unsafe conditions in their work areas.
- Ensure a Stop Work procedure is in place where work is immediately stopped any time unsafe conditions or behaviors are observed until the job can be completed safely.
- Become familiar and comply with SCE site-specific EHS requirements applicable to the work being performed.
- Ensure at all times, when on an SCE job site, that at least one Contractor employee has the capability and responsibility for communicating safety and emergency information with all Contractor personnel. This Contractor employee shall have sufficient comprehension of the English language, such that the employee is able to read, understand, follow, and communicate to others all posted safety signs and written warnings, directions given during a safety or security drill or exercise, written or oral instructions or directives pertaining to health and safety matters, and all site-specific written Health and Safety Plans.

2.4 Expectations for Tier 1 Contractors

In addition to the provisions in other sections of this EHS Handbook, Tier 1 Contractors shall:

- Provide a supervisor/person in charge who is responsible for the general work area or Tier 1 work involving multi-employee crews or multi-employee job sites. This person shall ensure rules/policies pertaining to the job are followed; safe work practices are utilized; and that risks and hazards associated with the job are identified, discussed, and mitigated prior to commencing work. The supervisor/person in charge is expected to identify and correct any unsafe work practices or other performance deficiencies that may occur; however, Contractor employees are not required to be in the line of sight of supervisors at all times. The Contractor shall, therefore, assure this requirement is met during the Hazard Assessment process. The Edison Representative or delegate shall review this requirement with the Contractor during the Contractor Orientation process and assure compliance during Field Safety Observations.
- Provide a dedicated Safety Professional in support of the work where Tier 1 projects exceed 50 employees. For larger Tier 1 projects involving 100 or more Contractor/Subcontractor employees, the Edison and Contractor Representatives shall determine the appropriate number of additional Safety Professionals required to support the project considering the nature of the tasks performed and the associated risks during the Hazard Assessment Process. SCE reserves the right to request that additional dedicated Safety Professionals support the project based on other factors associated with the scope of work, such as the

degree of complexity or risk associated with the project. The Edison Representative or delegate shall review this requirement with the Contractor Representative during the Contractor Orientation process, and ensure compliance during Field Safety Observations and when SCE performs CSQARs.

- Work with SCE performing CSQARs, which are onsite and detailed assessments ensuring contractual safety commitments are actually implemented in the field. Imminent hazard(s) shall be addressed immediately.
- Ensure the development and implementation of a plan to provide additional supervisory oversight for newly hired Contractor workers during their first 6 months of employment and for workers during the first 6 months following assignment to a new role (e.g., newly promoted lineman, supervisor).
- Develop and maintain a formal training program, which at a minimum, includes orientation training for newly hired Contractor employees and periodic continuing training in relevant topics for all Contractor employees.

2.5 SCE Stop Work Authorization and Inspection

Compliance with safety and environmental requirements and safe practices is expected for Contractors working for SCE. Contractors should understand that:

- SCE may inspect the Contractor's work for compliance with the Contractor's contractual obligations at any time.
- SCE may immediately stop work if an imminent risk to workers or the public is observed.
- SCE's inspections in no way relieve the Contractor of the obligation to maintain its own programs or to conduct any inspections required by federal, state, and local regulations.
- Any imminent hazard shall be corrected to SCE's satisfaction before the work is allowed to continue.

Note: Failure to adhere to an SCE employee's order to stop work shall be considered a breach of contract.

2.6 Use of Subcontractors to Perform Tier 1 Work

Contractors intending to utilize Subcontractors to perform Tier 1 work shall:

- Be responsible for the Subcontractor and their work performance at all times when carrying out work for SCE.
- Notify SCE of their intention to use Tier 1 Subcontractors during the Hazard Assessment process and at any time prior to commencement of work by a Subcontractor, which shall be documented in the Contractor Safety Management Standard Appendix D: Hazard Assessment. Failure to notify the Edison Representative of the use of a Subcontractor could result in the immediate dismissal of the Contractor from a project.
- Make necessary preparations for its Tier 1 Subcontractors to undergo the same qualification process as Tier 1 Contractors to properly manage the time it takes to undergo review by the TPA.

3.0 CONTRACTOR ORIENTATION

Within 15 calendar days after receipt of notice to proceed or in advance of the Tier 1 Contractor's start of work (whichever is sooner), the Contractor Representative shall participate in a Contractor Orientation, which is performed in collaboration with the Edison Representative, by ensuring the review/development of the following:

- a. Hazard Assessment (Appendix D of the Contractor Safety Management Standard)
- b. Project/Site-Specific EHS Plan
- c. Handbook for Contractors Checklist (Attachment C)

The Contractor Representative and Edison Representative shall review and sign these documents prior to the start of work using the Contractor Orientation Review Form (Appendix E of the Contractor Safety Management Standard). The Contractor Representative shall conduct a Contractor Orientation for their crews, including Subcontractors, as well as any new employees/Subcontractors that begin work on the project subsequent to the original Contractor Orientation. The Contractor shall maintain a signed copy of the Contractor Orientation Review Form at the job site.

Hazard Assessment

Prior to the start of work, Tier 1 Contractors shall review and provide input (if any) to the Hazard Assessment for the work to be performed that identifies potential health and safety issues and hazard mitigation associated with the project and the project locations. Once reviewed together with the Edison Representative or delegate, the Contractor Representative shall sign the document indicating that he or she understands the items contained in the Hazard Assessment and will ensure compliance with the requirements and mitigation of the identified hazards.

Project/Site-Specific EHS Plan

Prior to the start of work, Tier 1 Contractors shall develop and submit to the Edison Representative or delegate, a Project-/Site-Specific EHS Plan that addresses each hazard identified in the Hazard Assessment and that minimally includes the following components:

- a. Identification of safety roles and responsibilities for Contractor employees
- b. Name and contact information of the Contractor's safety representative(s) and key personnel
- c. List of the Subcontractor to be used and a description of the process for managing the Subcontractors
- d. Description of daily tailboard/Job Hazard Analysis (JHA) protocol
- e. Competent/qualified personnel qualifications and training records (as applicable)
- f. Emergency Action Plan, including emergency medical contact information and evacuation procedures
- g. Planned method of job-site communications
- h. Any other site-specific procedures as required

Source Contractors, which are Contractors who perform repetitive project work under an agreement that lasts for an extended period of time, shall develop and submit a Project-/Site-Specific EHS Plan that addresses each hazard identified in the Hazard Assessment for Source Contractors. The Source Contractor Project-/Site-Specific EHS Plan shall address Items a through h (above), with the site-specific items and hazards being identified and discussed through daily tailboarding and JHA. The Source Contractor Project-/Site-Specific EHS Plan shall be completed in conjunction with the Hazard Assessment at the start of the contract, reviewed annually, and updated when changes are made to the Hazard Assessment.

Handbook for Contractor Checklist

Tier 1 and Tier 2 Contractors shall review the Handbook for Contractors Checklist (Attachment C) with the Edison Representative, covering requirements contained in this EHS Handbook. The checklist review shall provide opportunities for questions and dialogue regarding expectations of Contractors/Subcontractors working at SCE. The Contractor Representative shall ensure the Handbook for Contractor Checklist is signed.

4.0 JHA REQUIREMENT AT ACTIVE CONSTRUCTION SITES

Prior to the commencement of work at each active construction site, a written JHA shall be posted at the entry, stopping all personnel and requiring them to review and sign the JHA. The JHA shall include, at minimum, crew foreman, site-specific location, emergency information, description of the work being performed, known hazards, hazard mitigation, required personal protective equipment, Underground Service Alert number and expiration date (if applicable), safe zones, and considerations for public protection. JHAs shall be conducted prior to the start of work, at the changes in shift, after lunch, and/or whenever conditions change.

5.0 EMERGENCY RESPONSE

Each occupied SCE facility has an Emergency Action Plan that describes the alarms and emergency notification system, evacuation routes, assembly areas, and emergency contacts. Contractors are responsible for understanding the requirements of the Emergency Action Plans where they perform work.

6.0 INCIDENT REPORTING

SCE requires Contractors to notify the Edison Representative of all Safety Incidents. These Safety Incidents include First-Aid incidents, injuries above First Aid, Close Call, Safety Violation, Vehicle Accident, Property Damage, Equipment Failure, Crew-Caused Circuit Interruption, Unplanned Outage, Primary Electrical Flash, Secondary Electrical Flash, Switching, Wiring/Conductor, Grounding Incident, Hazardous Material Release, Environmental Incident, Customer Complaint/Negative Contact, and Fire Incident types.

6.1 Contractor Notification Requirements

The Contractor shall take appropriate steps to secure the site to prevent further incident and immediately notify the Edison Representative of the incident with a phone call and email backup confirming the communication.

Contractors shall send completed Part 1, and when applicable, Parts 2a and 2b, reports to the following SCE personnel and emails:

- Your Edison Representative or designee (All Incidents)
- Your Supply Chain Management Representative (All Incidents)
- Notify the International Brotherhood of Electrical Workers (IBEW) Local 47 of all Circuit Interruptions (Sroberts@ibew47.org, Rpeterston@ibew47.org, MHernandez@ibew47.org).

Agency	Agency Notification Requirement	Outside Normal Work Hours
OSHA	Within 8 hours of the initial report	
Department of Transportation (DOT)	Within 2 hours of knowledge	No longer than 4 hours after becoming aware of a reportable incident

6.2 Contractor Reporting Requirements

Contractors shall complete and send the Contractor Incident and Evaluation Report (Attachment A: Parts 1, 2a, and 2b) to the Edison Representative and Supply Chain Representative as follows:

- Within one (1) business day, Contractor completes and submits the Preliminary Incident Report Part 1, Section 1.1 to 1.4.
- Within five (5) calendar days, Contractor updates Sections 1.1 to 1.4 as necessary and completes the Five Day Update Report (Part 2a) for the following incidents types: Serious Injury/Illness, Fatality, Life Altering,

Life-Threatening, and Potential Life-Threatening or Altering Incidents, OR if the cause and corrective actions are NOT identified and documented in Part 1.

- Within 60 calendar days, Contractor shall complete the Final Report Part 2b for the following incidents types: Serious Injury/Illness, Fatality, Life-Altering, Life-Threatening, and Potential Life-Threatening or Altering Incidents (see Attachment B for guidance). If an extension of due date for the Final Report Part 2b is required due to the complexity of the incident, an extension can be approved by the SCE Director of Supply Chain Management, or Transmission & Distribution Director or designee.

Contractors shall submit all associated photos and additional documentation in a single PDF file via email to the Edison Representative when submitting Parts 1, 2a, and 2b of the Contractor Incident and Evaluation Report.

Contractors shall track corrective action completion with an owner and due date within their own tracking system.

6.3 Edison Representative Requirements

The Edison Representative or delegate shall ensure the following:

- Notifications are made regarding defined California Public Utilities Commission (CPUC), OSHA, and Serious Injury Incidents immediately to the Grid Control Center.
- The Contractor's incident investigation is reviewed for accuracy and acceptance. Within 1 business day, the Edison Representative shall send the report to the following distribution lists:
 - TDIncidentReporting@sce.com (All Incidents)
 - CCIIncidentReporting@sce.com (Circuit Interruptions only)
 - CorpSafetyStatisticsDataMgmt@sce.com (Serious Injury, Fatality, Injury/Illness/Close Calls Incidents only)
 - GOTSPILL@sce.com (Hazardous Materials Spills only)
- The Contractor has completed all notification and report requirements within the allotted timeframes as described in the Contractor Notification and Report Requirement sections above.
- Data is entered from Part 1 Preliminary Incident Report (see Attachment A) into EHSync as soon as possible but within 2 business days of receiving from the Contractor.
 - NOTE: When entering incident into EHSync, the response to the question, "Was the Injury Assistance Program offered to the employee?" will always be "No."
- Part 2a Five Day Update Report (see Attachment A) is entered as an attachment in EHSync with the associated incident as soon as possible but within 2 business days of receiving from the Contractor.
- Part 2b Final Report (see Attachment A) is attached with any associated photos/documentation as soon as possible but within two (2) business days of receiving from the Contractor.
- The Executive Summary from Part 2b (see Attachment A) Final Report is entered into the EHSync Executive Summary data field. If the summary is too large, summarize to minimally show the findings and corrective actions.
- Parts 1, 2a, and 2b (see Attachment A) is sent within two (2) business days of receipt to the following:
 - Contract Representative
 - Contract Manager
 - Immediate Manager
 - Claims Representative (as directed)
- Claims is consulted on sharing/gathering of further information. If advised to do so, the Edison Representative advises the Contractor to add any new details and resubmit Parts 1, 2a, or 2b (see Attachment A).
- The Contractor has a tracking system and completion is monitored periodically.

7.0 ADDITIONAL REPORTING REQUIREMENTS

7.1 Hazardous Materials Release or Environmental Event Reporting

Contractors shall immediately notify the Edison Representative of any chemical spills or releases inside or outside any SCE facility.

Within one (1) business day, the Contractor shall submit the Contractor Incident and Evaluation Report Part 1 (see Attachment A) via email to the Edison Representative copying SCE's Environmental Services Department (ESD) at GOTSPILL@sce.com.

Contractors shall make every reasonable effort to immediately contain and clean up hazardous materials releases. If special training is required to respond to the release, the Contractor is responsible to ensure their employees are properly trained or utilize properly trained response Subcontractors. The Edison Representative may provide specific cleanup and waste disposal instructions.

7.2 Transportation-Related Incidents

Any SCE vehicle involved in a Serious Injury accident or involved in a third-party accident shall be stored at the treatment, storage, and disposal (TSD) Pomona facility and under control of an assigned Claims representative. Nothing may be removed from the vehicle, no pictures may be taken, nor may it be shown without the prior consent of the assigned SCE Claims representative.

All aviation-related incidents shall be reported immediately to Aircraft Operations and follow Title 14 of the Code of Federal Regulations Part 830.

7.3 Regulatory Agency Visit

Contractors shall:

- Verbally notify the Edison Representative or delegate upon notification or arrival of a regulatory agency during the course of contracted work.
- File a report to the Edison Representative and Corporate Health and Safety (CHS) or ESD within 24 hours after conclusion of the initial visit. The report shall provide the date, time, location, agency, agency representative name and contact information, purpose of visit, information requested and/or provided, corrective actions resulting from the visit, if applicable, and due dates.
- Provide regular updates to the Edison Representative regarding management of the corrective actions and any interim visits.
- Provide the Edison Representative and CHS or ESD final close-out documentation within five (5) business days after the requirements of the regulatory agency have been satisfied.

7.4 OSHA Citation and Investigations

Contractors shall immediately notify the Edison Representative of any OSHA citations, pending OSHA investigations related to the contracted work, or Serious Injury/Illness or Fatality.

The Edison Representative shall notify the SCE Claims Department and Corporate Health and Safety (CorpSafetyStatisticsDataMgmt@sce.com) of any OSHA citations, pending OSHA investigations related to the contracted work or Serious Injury/Illness or Fatality.

8.0 HEALTH AND SAFETY REQUIREMENTS

The following health and safety requirements are not intended to cover all aspects of a safety program. The following information is intended to assist Contractors in the development of safe work practices and safety plans.

8.1 General Health and Safety Requirements

Contractors shall:

- Ensure its work procedures do not conflict with the health and safety requirements of SCE policies, standards, and programs
- Take precautions for the protection of the health and safety of Contractor personnel, SCE's employees, or other exposed persons, including the public
- Ensure that all specialized equipment (e.g., aerial lifts, cranes, man-lifts, fork trucks) are operated and maintained in accordance with manufacturer's specifications and as required by applicable regulations.

8.2 Confined Space Entry

A permit-required confined space inventory is available for SCE facilities that have permit-required confined spaces onsite. These spaces are identified and classified based on the conditions at the time of the survey. Contractors are responsible for evaluating their work site to determine if confined spaces exist. The Contractor performing work within a confined space (permit required, non-permit, or other confined space) shall comply with applicable confined space regulations and associated SCE requirements.

In addition, each Contractor shall:

- Ensure that information regarding any hazards are identified prior to entering the space and SCE's experience with the confined space is obtained from the Edison Representative
- Prior to entering the space, provide information to the Edison Representative regarding any hazards that the Contractor's work may create in the confined space
- Ensure identified hazards are eliminated, mitigated, or controlled
- Develop a rescue plan, including provision for trained rescue personnel and equipment, before entering the permit-required confined space
- Coordinate all entry operations when work includes both SCE personnel and Contractor personnel who will be working in or near the confined space
- Debrief with the Edison Representative when the confined space operation is completed

8.3 Fall Protection

Contractors shall:

- Conduct evaluations of all elevated workplaces to determine the appropriate level of fall protection for their employees.
- Use 100% fall protection, unless exempted by the OSHA standard. Where conventional fall protection is not possible or feasible, the Contractor shall develop a written Fall Protection Plan, following applicable OSHA regulations and associated SCE requirements.
- Ensure all workers are instructed in the fall protection system to be used and the procedures to be followed where there is a risk of fall hazard.

8.4 Fire Prevention

A site-specific fire prevention plan is available for SCE facilities. This plan identifies potential fire hazards and methods to prevent and to properly respond to fires when at SCE sites. Contractors are to be familiar with and comply with these site-specific requirements.

Each Contractor shall:

- Report all fires extinguished by the Contractor to the Edison Representative. If a Contractor uses an SCE fire extinguisher, they shall report such usage to the Edison Representative.
- Ensure that employees and subcontractors do not smoke in any non-smoking areas, including inside all buildings.
- Communicate and coordinate any impairment to fire protection systems with the Edison Representative prior to shutdown of any such system.

8.5 Hazard Communication

- Before starting a new job, each Contractor shall advise the Edison Representative of all hazardous substances to be used in the workplace. Safety Data Sheets (SDSs) on such hazardous substances shall be readily available to the workers, SCE, or a regulatory agency.
- The Edison Representative shall inform the Contractor of any known hazardous substances used in the work area where the Contractor will be working. An SDS for such substances shall be readily available upon request by the Contractor.

8.6 Heat Illness Prevention

A Heat IPP is required when employees are engaged in outdoor operations where the environmental risk factors for heat illness may exist during the work period. The Contractor shall comply with SCE's Heat Illness Prevention Program.

Prior to commencing work, Contractors shall:

- Evaluate work conditions or a work environment that present the risk of heat illness or heat stress.
- Ensure a Heat IPP has been prepared in accordance with regulatory requirements, and all personnel are trained on the plan, and comply accordingly.
- Ensure controls, such as providing sufficient potable water and providing a shaded recovery area, are in place per regulation.
- Ensure the Contractor's specific acclimatization procedure is implemented when applicable.

8.7 Hot Work

A site-specific hot work plan is available for SCE facilities. This plan identifies designated areas where hot work may be performed without authorization. The plan also contains the names of personnel at the site who may authorize hot work (any task that may produce sparks, such as welding, grinding, and/or cutting).

Contractors performing hot work at an SCE facility shall comply with the following:

- Inform the Edison Representative of the intent to perform hot work, including in non-designated areas.
- Perform hot work in compliance with Contractor's Hot Work Program and ensure the work procedures do not conflict with the SCE Hot Work Program.

8.8 Housekeeping/Cleanup

- Each Contractor shall maintain a clean and orderly work area at all times.
- When the work is completed, each Contractor shall remove any Contractor-owned materials from the site or dispose of them in accordance with the Waste Handling, Storage, Transport, and Disposal section of this EHS Handbook.
- Restoration of ground disturbance, including re-vegetation, shall be in accordance with the contract or as directed by the Edison Representative.
- Contractor shall remove all trash and debris from the job site before leaving each workday.

8.9 Industrial Hygiene

The Edison Representative will inform Contractors of known chemical and physical hazards. Each Contractor or Subcontractor shall inform the Edison Representative of chemical and physical hazards that the Contractor's work creates.

Asbestos

SCE buildings and structures (including vaults) may contain asbestos materials (e.g., roofing materials, gaskets, thermal system insulation, gypsum wallboard and joint compound, ceiling tiles, exterior stucco, pipes, window glaze, floor coverings, including mastic, fireproofing, cable, cable wrap, transite panels, transite ducts, wire insulation).

- Prior to beginning work that could disturb suspect asbestos-containing construction materials (ACCM) or suspect asbestos-containing materials (ACM), the Edison Representative must verify that an asbestos survey has been conducted. If a survey has not been conducted, the Edison Representative shall contact CHS to request a survey.
- Each Contractor must verify with the Edison Representative that a survey has been conducted and ACM and ACCM have been identified and abated. If ACM or ACCM is identified, the SCE Representative shall use an SCE-approved asbestos abatement contractor to abate identified materials. The Edison Representative shall notify CHS and SCE's EHS personnel/Environmental Specialist to coordinate any abatement activities.
- Contractors shall immediately report to the Edison Representative any suspect ACM or ACCM that has not been surveyed. The suspect ACM or ACCM shall not be disturbed until approval from the Edison Representative is obtained to resume operations.
- Contractors shall immediately report to the Edison Representative any uncontrolled/unauthorized disturbance of ACM or ACCM. Contractors shall cease all operations in the immediate area of the disturbed material, until approval to resume operations is obtained from the Edison Representative.

Lead

Many SCE buildings and structures constructed before 1980 may have components painted with lead-containing or lead-based paint.

- Prior to beginning work that could disturb suspect lead-containing or lead-based paint, the SCE Representative must verify that a lead survey has been conducted. If a survey has not been conducted, the Edison Representative shall contact CHS to request a survey.
- Each Contractor must verify with the Edison Representative that a lead survey has been conducted and that appropriate controls are identified.
- All work impacting SCE housing or recreational facilities in which lead paint could be disturbed must be coordinated through the Edison Representative to ensure exposures are evaluated for possible removal prior to the start of work.

Noise

The Contractor shall inform the Edison Representative of activities or operations that could expose SCE employees to noise levels that exceed 85 decibels (dBA).

Non-Ionizing Radiation except EMF

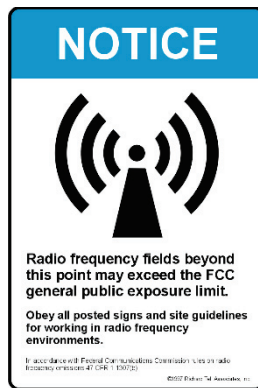
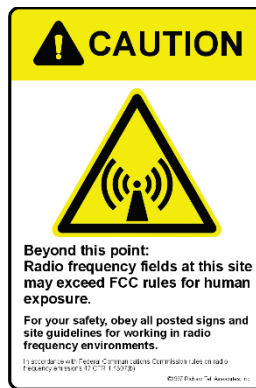
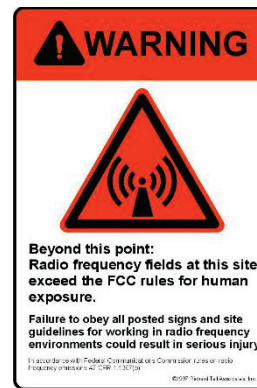
The Contractor shall inform the Edison Representative of the use of any equipment that produces non-ionizing radiation.

- Whenever any Contractor uses a Class 3A or greater laser, the Contractor shall inform the Edison Representative of such use, identify each piece of equipment in which a laser is installed, and implement appropriate controls to prevent exposure to the laser beam. This includes alignments, surveying, and welding/cutting lasers.

Potential Radio Frequency (RF) Energy Exposures at SCE Facilities

Contractors working near antennas at SCE facilities or beyond RF alert signs, as illustrated below, shall understand the meaning of the signage and maintain appropriate distance from antennas or use proper personal protection monitoring at the work site through appropriate RF Safety Training. This is to prevent Workers from inadvertently getting RF over-exposure according to the Federal Communications Commission occupational maximum permissible exposure limits. Any required training shall be the responsibility of the Contractor to complete for their personnel.

Note: All antennas are to be considered energized unless confirmation has been obtained that they have been de-energized, and will remain de-energized, in accordance with appropriate procedures prepared by the Contractor.

Signal Word**NOTICE****Blue****CAUTION****Yellow****WARNING****Orange****DANGER****Red****Sign Color****8.10 Lockout/Tagout**

An inventory of equipment covered by SCE's Hazardous Energy Control Program (Lockout/Tagout) is available for SCE facilities where applicable.

Contractors shall:

- Comply with its Hazardous Energy Control Program and ensure the work procedures do not conflict with the requirements of the SCE Hazardous Energy Control Program or OSHA Lockout/Blockout-Tagout methods and procedures.
- Obtain written authorization from the Edison Representative or delegate to lockout SCE equipment.
- Upon completion of the job, notify the Edison Representative so operation of the equipment can be resumed after the lockouts have been removed.

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8.11 Trenching and Excavations

Contractor employees are expected to be aware of the steps that must be undertaken and OSHA permit(s) required prior to beginning any excavation, trenching, drilling, and/or shoring activity.

Contractors shall:

- Perform trenching, excavation, drilling, and/or shoring work in accordance with applicable regulations and requirements
- Provide a competent person (see Definitions) to assess the soil, plan and permit, inspect the excavation, and engage engineering professionals as needed
- Ensure employees are properly protected from falls created by the work
- Ensure “Dig Alert” has been contacted and subsurface installations have been marked prior to earth moving activities
- Have readily available at the site when required, a copy of the OSHA permit (i.e., depths greater than 5 feet) and a completed activity notification form for the annual permit holder as required by the regulation

Note: Contractors shall obtain permits to drill soil borings or install wells as required by state or local jurisdictions.

8.12 Use and Operation of SCE Facilities, Materials, Equipment, and Vehicles

Contractors shall not use or operate SCE facilities, materials, equipment, and/or vehicles unless specifically authorized in writing by the Edison Representative.

8.13 Work Area Protection and Traffic Control

- Prior to commencing work, Contractors shall inform the Edison Representative of any potential danger to SCE personnel, the public, or other exposed persons.
- When necessary, each Contractor shall isolate the Contractor’s work areas from SCE operations, employees, or other exposed persons by using appropriate warning tape, barriers, or other effective means of isolation.
- Each Contractor shall erect and properly maintain, at all times, all necessary safeguards for the protection of Contractor personnel, SCE’s employees, and other exposed persons.
- Where approved signs or barricades do not provide the necessary traffic control, qualified and properly equipped flaggers shall be provided.

8.14 High Voltage Work

Prior to commencing work, Contractors shall:

- Ensure each employee is trained and instructed in the hazardous conditions relevant to the employee’s work and is protected as required by applicable OSHA regulations and SCE requirements.
- Require the use of safety devices and safeguards where applicable.
- Furnish such safety devices and safeguards as may be necessary to make the work as free from danger as reasonably possible. Examine or test each safety device at such intervals as necessary to ensure that it is in good condition and adequate to perform the function for which it is intended. Instruct employees to inspect each safety device, tool, or piece of equipment each time it is used and to use only those in good condition. Devices furnished by the Contractor found to be unsafe shall be repaired or replaced.

9.0 ENVIRONMENTAL REQUIREMENTS

Contractors shall:

- Comply with all applicable of federal, state, and local environmental regulations, as well as the terms and conditions of the contract and SCE environmental standards.
- Inform the Edison Representative should there exist concerns about any environmental regulatory requirements, and resolve any ambiguity prior to continuing work.
- Abide by all avoidance and minimization measures contained in the written project environmental clearance issued by ESD or other environmental requirements associated with environmental review (e.g., mitigation measures, applicant-proposed measures). If the project scope changes from that originally reviewed and cleared by ESD or avoidance measures cannot be undertaken as planned, create safety hazards for the work crew, or create conflicts with project objectives, the Contractor shall immediately contact the Edison Representative.
- When undertaking new and upgraded electrical system work involving voltages of 50 kilovolts (kV) and greater, the Contractor shall obtain guidance from the Edison Representative regarding project environmental and regulatory requirements prior to starting work, as additional regulatory requirement may apply, including filing advice letters and public notification.

9.1 Air Quality and Air Emissions

Each Contractor shall:

- Secure all required air permits for its own equipment without encumbering SCE with compliance obligations for that equipment.
- Operate equipment and perform work in compliance with applicable air regulations and air permits.
- Prepare and maintain any repaired logs, reports, or notifications, and provide copies to the Edison Representative upon request.

9.2 Biological and Archaeological/Historical Sensitivities

Contractors shall:

- Refrain from driving off established roads or performing grading, blading, trenching, digging, and/or vegetation removal, except within the bounds of an environmental clearance issued by ESD.
- Comply with SCE's Avian Protection Program by immediately reporting to the Edison Representative any bird mortalities at SCE facilities (substations, distribution lines, and transmission lines), not conducting work that may disturb active nests (i.e., nests with eggs or young birds) without prior approval from ESD, and avoiding tree-trimming or other potentially disruptive maintenance or construction activities in sensitive areas (e.g., riparian habitat) during nesting season (generally February through August) without prior approval from ESD.
- Stop work and contact the Edison Representative, if archeological, paleontological, or human remains are discovered.

9.3 Field Work Activities

Contractors shall:

- Provide personnel appropriate environmental training, which includes information regarding those resources and required avoidance and minimization measures, if an environmental clearance identifies sensitive biological or cultural resources or other environmental resources and sensitivities.
- Verify with the Edison Representative or delegate that the proper permits have been obtained to enter land not owned by SCE and that there are programs in place to comply with the permits.

9.4 Hazardous Materials, Handling, Storage, and Transport

Contractors shall:

- Maintain all required transportation permits, approvals, authorizations, logs, reports, or notifications, and provide copies to the Edison Representative upon request.
- Notify the Edison Representative immediately of any spills (of any quantity) or DOT-Reportable Incidents.
- Within one (1) business day, the Contractor shall submit the Contractor Incident and Evaluation Report, Part 1 (see Attachment A) via email to the Edison Representative copying ESD at GOTSPILL@sce.com.

9.5 Water Quality

- When working with hazardous materials, Contractors shall employ Best Management Practices to prevent spills from entering a storm drain.
- Most SCE facilities have Storm Water Management Plans (SWMPs) as required by the SCE Corporate Storm Water Management Program. Contractors shall comply with the requirements of the SWMP.
- Contractors shall not discharge any material into storm drains, sewers, or waterways, unless the discharge complies with the site's SWMP and applicable laws, regulations, and permits.
- If any land disturbance totaling one (1) acre or more is required (including, but not limited to, temporary roads, parking areas, and material laydown areas), the Contractor shall contact the Edison Representative to determine whether a Water Quality Management Plan, Storm Water Pollution Prevention Plan (SWPPP), or other water quality compliance document needs to be developed or permit must be obtained. Additional water quality requirements by the Municipal Separate Storm Sewer System (MS4) municipality could be imposed for land disturbance of less than one (1) acre.
- If any land disturbance beyond the approved project scope of work occurs, including spill cleanup, dredging, and filling in a waterway, the Contractor shall immediately notify the Edison Representative.
- When dewatering from a utility vault or underground structure, Contractors shall adhere to SCE's EN2 document (Underground Structure Water Handling and Disposal procedure), and meet the requirements of the current General Vault Discharge Permit issued by the state.
- When commercial vehicle washing is performed at an SCE facility, Contractors shall ensure that wastewater runoff does not enter a storm water drain. The waste water must either be directed to an onsite wash rack/clarifier or be collected and disposed out of the SCE facility in accordance with applicable laws and regulations and pending approval of the Edison Representative.

9.6 Waste Handling, Storage, Transport, and Disposal

Contractors shall:

- Coordinate waste management resulting from an SCE project with the Edison Representative
- Not dispose any SCE hazardous waste at facilities other than those that are authorized and approved by ESD
- Maintain any required logs, reports, or notifications and provide copies to the Edison Representative upon request
- Deliver Generator copies of Uniform Hazardous Waste Manifests to the Edison Representative within 48 hours of hazardous waste shipments

10.0 DEFINITIONS

Actual Life Altering	Injury, illness, fatality, or incident occurring in a place of employment, or in connection with employment, resulting in a permanent and significant loss of a major body part or organ function; permanently changes or disables normal life activity; or requires inpatient hospitalization for a period in excess of 24 hours for other than medical observation. Some examples include significant head injuries, spinal cord injuries, paralysis, major amputations, catastrophic fractured bones, and serious burns (highly visible, such as to the face or neck).
Actual Life-Threatening	Injury, illness, fatality, or incident occurring in a place of employment, or in connection with employment, requiring immediate life-preserving rescue action, that if not applied in an immediate fashion, would likely result in the death of that person. These cases usually require the intervention of emergency response personnel to provide life-saving support. Some common examples would include significant blood loss, damage to the brain or spinal cord, use of CPR or AED, chest or abdominal trauma affecting vital organs, and serious burns (third degree over a major portion of the body).
Competent Person	One who is capable of identifying existing and predictable hazards in the surroundings or working conditions that are unsanitary, hazardous, or dangerous to employees, and who has authorization to take prompt corrective measures to eliminate the existing and predictable hazards.
Conditional Contractors	Tier 1 Contractors who, following safety performance and program review by the TPA, exhibit areas that may be below SCE and/or the industry standard in their safety performance but are qualified to perform work at SCE, with the condition that additional mitigation procedures are in place to ensure safe work practices are followed.
Contractor	The party entering into a contract to perform work for SCE. This term is also applicable to the Contractor's agent, person, or persons authorized to represent the Contractor, such as the Contractor's superintendent or foreman.
Contractor Representative:	The Contractor employee named in the contract or appointed by the Contractor to act on behalf of the Contractor.
Close Call	An event where no personal injury was sustained and no property was damaged, but where, given a slight shift in time or position, damage and/or injury easily could have occurred.
CPUC-Reportable Incidents	<p>The CPUC defines reportable injuries as those that meet any of the following criteria:</p> <ul style="list-style-type: none"> • Fatality or personal injury rising to the level of inpatient hospitalization • Are the subject of significant public attention or media coverage • Damage to property of the utility or others estimated to exceed \$50,000 and are attributable or allegedly attributable to utility-owned facilities
Deliverables	Documentation, material, and any other works and services or deliverables delivered by Contractor to Edison under the Agreement.
DOT-Reportable Incidents	<p>During the course of transportation in commerce (including loading, unloading, and temporary storage) as a direct result of a hazardous material:</p> <ul style="list-style-type: none"> • A person is killed • A person receives an injury requiring admittance to a hospital • The general public is evacuated for 1 hour or more • A major transportation artery or facility is closed or shut down for 1 hour or more or the operational flight pattern or aircraft routine is altered • Fire, breakage, spillage, or suspected radioactive contamination occurs involving a radioactive material

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- Fire, breakage, spillage, or suspected contamination occurs involving an infectious substance other than a diagnostic specimen or regulated medical waste
- A release of a marine pollutant in a quantity exceeding 450 liters (119 gallons) for liquids of 400 kilograms (882 pounds) for solids; or a situation exists of such a nature (e.g., a continuing danger to life exists at the scene of the incident) that, in the judgment of the person in possession of hazardous material, it should be reported to the National Response Center even though it does not meet these criteria
- An unintentional release of a hazardous material from a package (including a tank) or any quantity of hazardous waste that has been discharged during transportation

Edison Representative

An SCE employee responsible for managing the work performed under a contract. The Edison Representative may designate a trained SCE point of contact who is familiar with the contract work being performed.

Environmental Incident

Any incident involving a release of potentially hazardous material and/or unauthorized substance into the air, ground, storm drain, waterways, etc., or any action that violates federal, state, or local environmental laws and regulations. Any release that requires reporting to any federal and/or state agency is considered an environmental incident.

First-Aid Incident

The definition criteria of First Aid is available at: Cal/OSHA (Chapter 7. Division of Labor Statistics and Research Subchapter 1. Occupational Injury and Illness Reports and Records Article 2. Employer Records of Occupational Injury or Illness) [§14300.7 General Recording Criteria \(b\) \(5\) \(B\)](#). Can be described as an injury, illness, or incident requiring medical attention that is usually administered immediately after the injury occurs and at the location where it occurred, and often consists of a one-time, short-term treatment and requires little technology or training to administer. First aid can include cleaning minor cuts, scrapes, or scratches; treating a minor burn; applying bandages and dressings; the use of non-prescription medicine; and drinking fluids to relieve heat stress.

Hazardous Material

Any material that, because of its quantity, concentration, or physical or chemical characteristics, poses a significant present or potential hazard to human health and safety or to the environment, if released into the workplace or the environment. Hazardous materials include hazardous substances, hazardous wastes, and any material that a handler or the administering agency has a reasonable basis for believing that it would be injurious to the health and safety of persons or harmful to the environment, if released into the workplace or the environment. Substances that are flammable, corrosive, reactive, oxidizers, combustible, or toxic are considered hazardous. Examples are oil, fuels, paints, thinners, compressed gases (e.g., acetylene, carbon dioxide, oxygen, nitrogen), radioactive materials, and pesticides.

Hazardous Waste

A waste, or combination of wastes, which, because of its quantity, concentration, or physical, chemical, or infectious characteristics may cause or significantly contribute to an increase in serious, irreversible, or incapacitating reversible illness, or pose a substantial present or potential hazard to human health, safety, welfare or to the environment when improperly treated, stored, transported, used, or disposed of or otherwise managed; however, this does not include solid or dissolved materials in irrigation return flows or industrial discharges that are point sources subject to permits under section 402 of the Federal Water Pollution Control Act of 1967 as amended, or source, special nuclear, or by product material as defined by the Atomic Energy Act of 1954.

Incident

An event that results in an injury, illness, or close call.

Injury/Illness

Condition or disorder. Injuries include, but are not limited to, a cut, fracture, sprain, or amputation. Illnesses include both acute and chronic illnesses, including, but not limited to, a skin disease, respiratory disorder, or poisoning.

Minor Injury/Illness	Injury, illness, or incident that is not life threatening or altering but requires more attention than just First Aid.
Potential Life Altering	A possible life-altering injury, illness, or incident that is more likely to occur than NOT to occur had the circumstances been different.
Potential Life Threatening	A possible life-threatening injury, illness, or incident that is more likely to occur than NOT to occur had the circumstances been different.
Property Damage	Any incident involving loss and/or damage to SCE-owned or non-SCE-owned property. The reporting requirement applies only to incidents that occur in the course of performing authorized contracted work and/or services on behalf of SCE.
Qualified Contractors	Tier 1 Contractors who, following safety performance and program review by the TPA, meet or exceed SCE-established standards for safety performance and programs and are approved to perform Tier 1 work at SCE.
Regulatory Agency Visit	Site visit by a federal, state, or local agency that has regulatory oversight of any aspect of utility operations and initiates a visit or contract to evaluate compliance.
Safety Professional	A certified safety employee whose responsibility is solely that of ensuring safe work practices and compliance with safety and health regulations.
Serious Injury	The definition to be used for “serious work injuries” has its basis in the CCR Title 8 §330, Definitions: <i>Any injury or illness (including death) occurring in a place of employment or in connection with any employment which requires inpatient hospitalization for a period in excess of 24 hours for other than medical observation or in which an employee suffers a loss of any member of the body or suffers any serious degree of permanent disfigurement.</i>
Services	The services and deliverables, if any, to be provided by the Contractor as described in the applicable scope of work and the corresponding purchase order.
Source Contractor	A business or person employed by a Contractor to carry out specific parts of a contract for SCE as part of a larger project.
Subcontractor	Any person who enters into an agreement with the Contractor or with another contractor to perform or furnish any portion of the work associated with the contract.
Third Party Administrator (TPA)	A professional service provider contracted by SCE to evaluate contractor safety performance and programs on behalf of SCE.
Tier 1	A designation assigned to contracted work activities that are high-risk and, without implementation of appropriate safety measures, are potentially hazardous or life threatening. Examples of these activities may include, but may not be limited to, air operations, general construction, trenching and excavation, demolition, activities requiring lockout/tagout, line-crew and energized electrical work, transportation of hazardous chemicals, confined space entry, hot work, working at heights, cleanup and remediation of hazardous substances or hazardous material waste, use of heavy machinery and equipment, and heavy lifting and rigging.
Tier 2	A designation assigned to contracted work activities that are lower-risk or routine in nature and not typically considered hazardous.
Unqualified Contractors	Tier 1 Contractors who, following safety performance and program review by the TPA, do not meet SCE and/or industry standards for safety performance and/or safety programs and cannot perform work at SCE.

11.0 REVIEW/REVISION HISTORY

Rev.	Date	Description of Revision	Contact
0	2/15/08	Initial distribution.	T. Roberts
1	6/9/08	Added discussion of the EHS Contractor Orientation Checklist and the sufficient English language comprehension requirement (2.1 h).	T. Roberts
2	6/19/08	Editorial changes, expanded definition of Edison Representative.	T. Roberts
3	10/1/09	Feedback from SCE organizations and legal review. Deleted the terms "directing and approving" from the discussion on the Edison Representatives responsibilities in Section 1.0 on Page 4. Added definition of DOT Reportable incidents.	T. Roberts
4	11/01/10	Editorial changes. Approved by: <ul style="list-style-type: none"> Cecil R. House, Senior Vice President, Safety, Operations Support & Chief Procurement Officer William Messner, Acting Director, Corporate EHS James P. Meyers, Director, Supply Chain Management 	T. Roberts
5	7/17/12	Feedback from all SCE organizations and legal review. Significant additions and/ or revisions include: <ul style="list-style-type: none"> Added new content to address New Construction projects. Added new content to address contracted jobs that do not involve purchase orders and the responsibilities to conduct Contractor EHS Orientations. Provided reporting clarification for injury and environmental incidents. Revised the process for completing and submitting written reports for non-serious injuries and property damage incidents. Contractors will complete and submit a monthly report to Corporate Health and Safety. Added a reporting template for contractors to use. Added new content involving the areas of Radio Frequency (RF) Exposures and Industrial Hygiene. Added new content and detail involving the Water Quality section of the handbook. 	Albert Chin
6	6/15/15	Editorial changes and new requirements related to the implementation of the Contractor Safety Management Standard.	S. Hart
7	12/1/16	Changes to align with the 2017 Contractor Safety Management Standard enhancement, including the addition of the Handbook for Contractors Checklist. Major revision to the Incident Reporting Section and the Incident Evaluation Report.	J. Parker

12.0 ATTACHMENTS

Attachment A: Contractor Incident and Evaluation Report

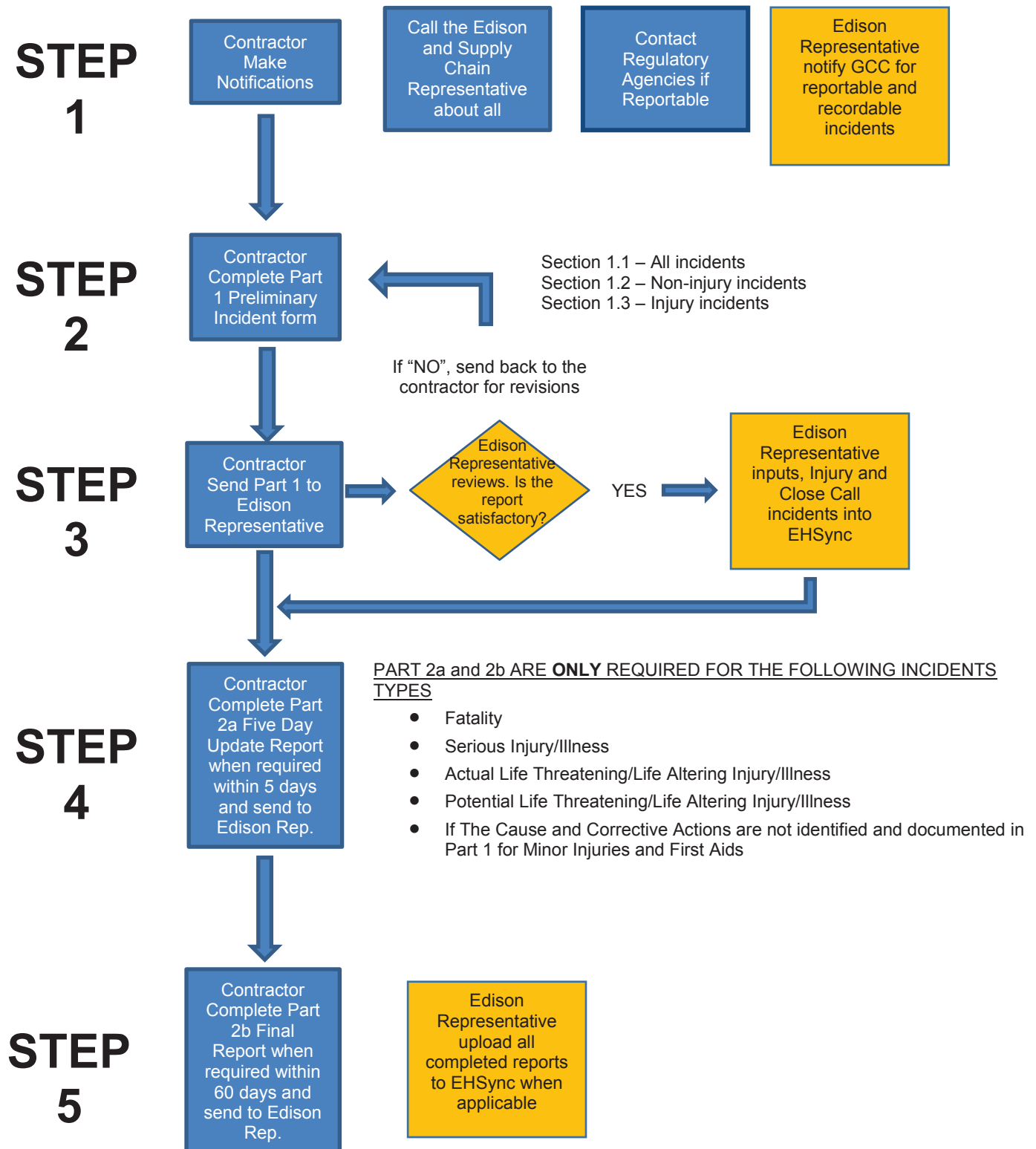
Attachment B: Contractor Incident and Evaluation Report: Final Report Guidance

Attachment C: Handbook for Contractors Checklist

Attachment A: Contractor Incident and Evaluation Report

Part 1 – Preliminary Incident Report

HOW TO COMPLETE ATTACHMENT A – CONTRACTOR CAUSE EVALUATION REPORT



Attachment A: Contractor Incident and Evaluation Report

Part 1 – Preliminary Incident Report

INCIDENT REPORTING

Southern California Edison requires contractors to notify the Edison Representative of all Safety Incidents. These Safety Incidents include: First Aid incidents, injuries above first aid, Close Call, Safety Violation, Vehicle Accident, Property Damage, Equipment Failure, Crew Caused Circuit Interruption, Unplanned Outage, Primary Electrical Flash, Secondary Electrical Flash, Switching, Wiring/Conductor, Grounding Incident, Hazardous Material Release, Environmental Incident, Customer Complaint/Negative Contact, and Fire incident types.

Notification Requirements

Contractor shall take appropriate steps to secure the site to prevent further incident and immediately notify the Edison Representative of the incident with a phone call and e-mail back up confirming the communication.

Contractors shall send completed Part 1, and when applicable Part 2a and 2b, reports to the following SCE personnel and emails:

- Your Edison Representative or designee (All Incidents)
- Your Supply Chain Management Representative (All Incidents)
- Notify the IBEW Local 47 of all Circuit Interruptions (Sroberts@ibew47.org, Rpeterston@ibew47.org, MHernandez@ibew47.org).

Agency	Agency Notification Requirement	Outside Normal Work Hours
OSHA	Within 8 hours of the initial report	
DOT	Within 2 hours of knowledge	No longer than 4 hours after becoming aware of a reportable incident

CONTRACTOR REPORTING REQUIREMENTS

Contractors shall complete and send the Contractor Incident and Evaluation Report (Attachment A: Part 1, 2a, & 2b) to the Edison Representative and Supply Chain Representative as follows:

- Within one (1) business day, Contractor completes and submits the Preliminary Incident Report Part 1, Section 1.1 to 1.4.
- Within five (5) calendar days, Contractor updates Sections 1.1 to 1.4 as necessary and completes the Five (5) Day Update Report (part 2a) for the following incidents types: Serious injury/illness, Fatality, Life Altering, Life Threatening, and Potential Life Threatening or Altering Incidents, OR if the cause and corrective actions are NOT identified and documented in Part 1.
- Within sixty (60) calendar days, Contractor shall complete the Final Report Part 2b for the following incidents types: Serious injury/illness, Fatality, Life Altering, Life Threatening, and Potential Life Threatening or Altering Incidents (see Attachment B for guidance). If an extension of due date for Part 2b Final Report is required due to the complexity of the incident, an extension can be approved by the SCE Director of Supply Chain Management, or T&D Director or designee.

Contractors shall submit all associated photos and additional documentation in a single PDF file via email to the Edison Representative when submitting Parts 1, 2a, and 2b of the Contractor Incident and Evaluation Report.

Attachment A: Contractor Incident and Evaluation Report

Part 1 – Preliminary Incident Report

Contractors shall track corrective action completion with an owner and due date, within their own tracking system.

Contractors shall track corrective action completion with an owner and due date, within their own tracking system.

EDISON REPRESENTATIVE REQUIREMENTS

The Edison Representative or delegate shall ensure the following:

- Notifications are made regarding defined CPUC, OSHA and Serious Injury Incidents to the Grid Control Center immediately.
- The contractor's incident investigation is reviewed for accuracy and acceptance. Within one business day, the Edison Representative shall send the report to the following distribution lists:
 - TDIncidentReporting@sce.com (All Incidents)
 - CCIIncidentReporting@sce.com (Circuit interruptions only)
 - CorpSafetyStatisticsDataMgmt@sce.com (Serious Injury, Fatality, Injury/Illness/Close Calls incidents only)
 - GOTSPILL@sce.com (Hazardous Materials Spills only)
- The Contractor has completed all notification and report requirements within the allotted timeframes as described in the Contractor Notification and Report Requirement sections above.
- Data is entered from Part 1 Preliminary Incident Report (see attachment A) into EHSync as soon as possible but within 2 business days of receiving from the Contractor.
 - NOTE: When entering incident into EHSync, the response to the question, "Was the Injury Assistance Program (IAP) offered to the employee?" will always be "No."
- Part 2a Five Day Update Report (see attachment A) is entered as an attachment in EHSync with the associated incident as soon as possible but within 2 business days of receiving from the Contractor.
- Part 2b Final Report (see attachment A) is attached with any associated photos/documentation as soon as possible but within two (2) business days of receiving from the Contractor.
- The Executive Summary from Part 2b (see Attachment A) Final Report is entered into the EHSync Executive Summary data field. If the summary is too large, summarize to minimally show the findings and corrective actions.
- Part 1, 2a and 2b (see attachment A) is sent within two (2) business days of receipt to:
 - Contract Representative
 - Contract Manager
 - Immediate Manager
 - Claims Representative (as directed)
- Claims is consulted on sharing/gathering of further information. If advised to do so, the Edison Representative advises the Contractor to add any new details and resubmit Parts 1, 2a, or 2b (see attachment A).
- The Contractor has a tracking system and completion is monitored periodically.

Attachment A: Contractor Incident and Evaluation Report

Part 1 – Preliminary Incident Report

SECTION 1.1 – GENERAL INFORMATION

Complete the table below to indicate the type of incident is being reported. Check **ALL** that apply.

The Edison Representative must enter the incident into EHSync if any boxes in this column are checked.	All other incident information will only be collected on this form		
<input type="checkbox"/> Injury/Illness <input type="checkbox"/> Close Call <input type="checkbox"/> Environmental <input type="checkbox"/> Hazardous Material Release	<input type="checkbox"/> Crew Caused Circuit Interruption <input type="checkbox"/> Unplanned Outage <input type="checkbox"/> Primary Electrical Flash <input type="checkbox"/> Secondary Electrical Flash	<input type="checkbox"/> Switching <input type="checkbox"/> Wiring/Conductor <input type="checkbox"/> Grounding Incident <input type="checkbox"/> Operating Tools/Equip <input type="checkbox"/> Equipment Failure	<input type="checkbox"/> Property Damage <input type="checkbox"/> Customer Complaint/Negative Contact <input type="checkbox"/> Fire <input type="checkbox"/> Safety Violation <input type="checkbox"/> Vehicle Accident

Reporting Person (Contractor)* indicates required field in EHSync system

First Name: *		Name of Edison employee contacted:	
Last Name: *		Check if Subcontractor involved:	<input type="checkbox"/> Yes <input type="checkbox"/> No
Company Name: *		Subcontractor Company Name:	
E-mail:		Date of initial report to SCE (Edison Representative):	
Purchase Order #:		Control Number:	
Work Order#:		(SEE SECTION 1.4 FOR CONTROL NUMBER INSTRUCTIONS)	
USA Ticket #:			

What Happened?

Title (Brief Description): *	
Description of Event: *	
Cause (Why did it happen?):*	
Immediate actions taken (actual actions taken):*	
Extent of Condition (could this event happen anywhere else, if so where?):	
Recommended Actions:*	
Check if SCE vehicle was involved:	<input type="checkbox"/>
Were other employees injured or ill:*	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Injured at Edison Facility:*	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Did or could this injury have resulted in a serious injury or fatality (SIF):*	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown

Type of work:

<input type="checkbox"/> Transmission Line Construction	<input type="checkbox"/> Line Clearing	<input type="checkbox"/> Civil Construction	<input type="checkbox"/> Substation	<input type="checkbox"/> Other
<input type="checkbox"/> Distribution Line Construction	<input type="checkbox"/> Vegetation	<input type="checkbox"/> Power Production	<input type="checkbox"/> Traffic Control	<input type="checkbox"/> Office

When did it happen?

Date: *		Time (military time): *	
---------	--	-------------------------	--

Where did it happen?

Department/Where Incident Occurred:		Street address:	
Location: *		City: *	
Area within Location? *		State: *	
County: *		Postal Code: *	

* - Required field

NOTE: Minor Injuries and First Aids only require Part 1 to be completed,

HOWEVER if a cause is **NOT** identified,
a Part 2.a (Five-Day Update) will be **REQUIRED**

Attachment A: Contractor Incident and Evaluation Report

Part 1 – Preliminary Incident Report

SECTION 1.2 – NON-INJURY INCIDENTS

Crew Caused Circuit Interruption/Switching/Wire Conductor/ Other Incident

Project Name:	District:
Scope of Work:	Sector:
Circuit:	District/Trans Region:

SECTION 1.3 – INJURY INCIDENTS

THIS SECTION SHOULD BE COMPLETED AND SENT TO EDISON REPRESENTATIVE FOR INJURY OR ILLNESSES ONLY

Severity of Injury (select the highest level)

<input type="checkbox"/> Life Threatening Actual (highest)	<input type="checkbox"/> Life Altering Actual	<input type="checkbox"/> Minor Injury/Illness
<input type="checkbox"/> Life Threatening Potential	<input type="checkbox"/> Life Altering Potential	<input type="checkbox"/> First Aid (lowest)

Who was injured? *

☐ Employee/Supplemental Worker w/PERNR ☐ Contractor w/o PERNR ☐ Multiple (Use additional comments section)

Does the injured party report to an SCE supervisor? ☐ Yes ☐ No

First Name (Injured person): *		Last Name: *					
Org Unit		Company: *					
E-Mail:		Phone:					
Job Classification: *							
How did the incident or exposure occur? *							
Date and Time Supervisor first knew of injury? *	Date: Time:						
Injured while performing company duties? *	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown Comments:						
Did a rule, policy, or procedure violation occur?	<input type="checkbox"/> Yes <input type="checkbox"/> No Comments:						
Did an unsafe act or work practice occur?	<input type="checkbox"/> Yes <input type="checkbox"/> No Comments:						
Does the incident meet the criteria for post incident testing set forth in the fitness for duty policy? *	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown Comments:						
Did the individual lose at least one full work day after date of injury? *	<input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown Comments:						
Additional Comments:							
Body Part: *	Choose one (X)	Head	Lower Extremities	Upper Extremities	Neck	Trunk	Multiple Body Parts

Attachment A: Contractor Incident and Evaluation Report

Part 1 – Preliminary Incident Report

Injured Person Condition/Status and Treatment:

Fatality: * <input type="checkbox"/> Yes <input type="checkbox"/> No
Treatment Beyond First Aid: * <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Unconsciousness: * <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Immediate Resuscitation: * <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Treated in Emergency Room: * <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Hospitalized Overnight: * <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown
Where is the employee being treated:
Physician Name:

Witnesses (provide additional sheets if more than 1 witness)

First Name:		Last Name	
Organizational Unit:		Company:	
Email:		Phone	
Comments:			

Object or Substance that directly injured individual: * Pick one below (X); Not otherwise classified (NOC)

<input type="checkbox"/> Abnormal Air Pressure	<input type="checkbox"/> Absorption, Ingestion, or Inhalation, NOC	<input type="checkbox"/> Animal or Insect	<input type="checkbox"/> Broken Glass	<input type="checkbox"/> Caught In or Between, NOC
<input type="checkbox"/> Chemicals	<input type="checkbox"/> Cold Objects or Substances	<input type="checkbox"/> Collapsing Materials (Sides of Earth)	<input type="checkbox"/> Collision or Sideswipe with another vehicle	<input type="checkbox"/> Collision with a fixed object
<input type="checkbox"/> Contact with NOC	<input type="checkbox"/> Continual Noise	<input type="checkbox"/> Crash of Airplane	<input type="checkbox"/> Crash of Rail Vehicle	<input type="checkbox"/> Crash of Water Vehicle
<input type="checkbox"/> Cumulative NOC	<input type="checkbox"/> Cut, puncture, scrape, NOC	<input type="checkbox"/> Dust, Gases, Fumes or Vapors	<input type="checkbox"/> Electrical Current	<input type="checkbox"/> Electrical Flash
<input type="checkbox"/> Explosion or Flare Back	<input type="checkbox"/> Fall greater than 4 feet	<input type="checkbox"/> Fall less than 4 feet	<input type="checkbox"/> Fall, Slip or Trip, NOC	<input type="checkbox"/> Falling or Flying Object
<input type="checkbox"/> Fellow Worker, Patient, or other person	<input type="checkbox"/> Fire or Flame	<input type="checkbox"/> Foreign Matter in Eyes	<input type="checkbox"/> From Different Level	<input type="checkbox"/> Other:
Did the individual lose at least one full work day after date of injury? * <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown				
Date Supervisor first knew of injury? *				
Injured while performing company duties? * <input type="checkbox"/> Yes <input type="checkbox"/> No <input type="checkbox"/> Unknown				

Activity of injured person? *Pick one below (X) – list continues on next page

<input type="checkbox"/> Operating Field Tools/Equipment	<input type="checkbox"/> Phone Calls	<input type="checkbox"/> Pulling / Pushing	<input type="checkbox"/> Reaching / Stretching	<input type="checkbox"/> Routine Field Work – Not Specified
<input type="checkbox"/> Sitting	<input type="checkbox"/> Standing	<input type="checkbox"/> Typing/Mousing	<input type="checkbox"/> Walking (Stairs)	<input type="checkbox"/> Walking (No Stairs)
<input type="checkbox"/> Bending/Kneeling/Squatting	<input type="checkbox"/> Climbing (pole)	<input type="checkbox"/> Climbing ladder/tower/wall	<input type="checkbox"/> Confidential	<input type="checkbox"/> Digging
<input type="checkbox"/> Driving/Riding in Vehicle	<input type="checkbox"/> Employee Does Not Know	<input type="checkbox"/> Entering/Exiting Vehicle	<input type="checkbox"/> Lifting/Carrying	<input type="checkbox"/> Operating Disconnects/Switching

Attachment A: Contractor Incident and Evaluation Report

Part 1 – Preliminary Incident Report

<input type="checkbox"/> Working with Office Materials	<input type="checkbox"/> Working with Customer Offsite	<input type="checkbox"/> Working on pole	<input type="checkbox"/> From ladder or Scaffolding	<input type="checkbox"/> From liquid or Grease Spills
<input type="checkbox"/> Hand Tool or Machine in Use	<input type="checkbox"/> Hand Tool, Utensil, not Powered	<input type="checkbox"/> Holding or Carrying	<input type="checkbox"/> Hot Objects or Substances	<input type="checkbox"/> Into openings – shafts, excavations, floor openings
<input type="checkbox"/> Jumping or Leaping	<input type="checkbox"/> Lifting	<input type="checkbox"/> Machine or Machinery	<input type="checkbox"/> Motor Vehicle (stuck against or stepping on)	<input type="checkbox"/> Motor Vehicle, NOC
<input type="checkbox"/> Moving Parts of Machine (Striking against or Stepping on)	<input type="checkbox"/> Moving Parts of Machine (Struck or Injured by)	<input type="checkbox"/> No injury – incident only	<input type="checkbox"/> Object being lifted or handled (cut, puncture, scrape)	<input type="checkbox"/> Object being lifted or handled (striking against or stepping)
<input type="checkbox"/> Object being lifted or handled (struck by or injured by)	<input type="checkbox"/> Object handled (caught in or between)	<input type="checkbox"/> Object handled by others (caught in or between)	<input type="checkbox"/> On ice or snow	<input type="checkbox"/> On same level
<input type="checkbox"/> On stairs	<input type="checkbox"/> Other than physical cause of injury	<input type="checkbox"/> Other – Miscellaneous, NOC	<input type="checkbox"/> Person in act of a crime – Robbery or Criminal Assault	<input type="checkbox"/> Powered hand tool, Appliance
<input type="checkbox"/> Pushing or Pulling	<input type="checkbox"/> Radiation			

Does the incident meet the criteria for post incident testing set forth in the fitness for duty policy? * ☐ Yes ☐ No ☐ Unknown

What body part? * Add List box matching EHSync Values	
Body Part Description: Add text box	
Did a rule, policy, or procedure violation occur? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Did an unsafe act or work practice occur? <input type="checkbox"/> Yes <input type="checkbox"/> No	

Witnesses

First Name:		Last Name	
Organizational Unit:		Company:	
Email:		Phone	
Comments:			

Attachment A: Contractor Incident and Evaluation Report

Part 1 – Preliminary Incident Report

SECTION 1.4 – CONTROL NUMBER DIRECTIONS

Control Number Determination

- 1) Determine and enter the Control Number and/or Revision Code followed by contractor name into the Control # field. The Control Number (e.g., MMY00-RX) for the report is determined by the month, year, and monthly report count for all work types in the region followed by the revision code (if any).

Example 1: Control # “051501” represents the first report in the region for May.

Example 2: Revision code for subsequent versions are denoted by the letter R and sequence number – “051501-R1”

- 2) Name and save the file as a PDF. The file naming convention (XXX-MMY00-RX-company) is determined by region code, month, year, monthly report count, revision code if applicable, and company name.
 - 1.
 2. For example, the first report for the ACME company working in Metro East in May 2015 - “MET-051501-ACME”. Revision codes should be denoted in subsequent versions, e.g., “MET-051501-R1-ACME”.

NOTE: The first report submission does not need a revision code but subsequent versions to a report should be denoted by the letter R and sequence number (XXX-MMY00-RX-ACME), i.e., the first revision would be denoted as “MET-051401-R1-ACME”.

Region Code		Control				Revision Code		Name
XXX	-	MM	YY	0	-	R	-	XXXX
Region		Month	Year	Report Count (by Region)		Revision Count		e.g. ACME
MET = Metro East		01 = Jan	15 = 2015	01 = 1 st Report of the month for Region		“Blank” = Original		
MWT = Metro West		02 = Feb	16 = 2016	02 = 2 nd Report of the month for Region		R1 = 1 st Revision		
SJQ = San Joaquin		03 = Mar	17 = 2017	03 = 4 th Report of the month for Region		R2 = 2 nd Revision		
SJC = San Jacinto		04 = Apr	18 = 2018	04 = 4 th Report of the month for Region		R3 = 3 rd Revision		
NCT = North Coast		05 = May	19 = 2019	05 = 5 th Report of the month for Region		R4 = 4 th Revision		
SRS = South Rurals		06 = Jun	20 = 2020	06 = 6 th Report of the month for Region		R5 = 5 th Revision		
DST = Desert		07 = Jul		07 = 7 th Report of the month for Region				
ORG = Orange		08 = Aug		08 = 8 th Report of the month for Region				
NRS = North Rurals		09 = Sep						
SUB = Substation		10 = Oct						
PPD = Power Prod.		11 = Nov						
LCC = Line Clearing		12 = Dec						

INFORMATION FOR EDISION REPRESENTATIVE ONLY

When entering incident into EHSync, the response to the question, “Was the Injury Assistance Program (IAP) offered to the employee?” will always be “No.”

Attachment A: Contractor Incident and Evaluation Report

Part 2a – Five Day Update Report

Date of Incident:			
Company Name:			
Summary of Event: Brief summary in chronological order of relevant events, activities, or equipment status prior to and including termination of the event. State if CAL OSHA or CPUC was notified of this event.			
Immediate Actions Taken: (e.g. steps taken to secure the site, call emergency services, and notify the Edison Representative)			
Status of Injured Person(s) and/or Fatality or Equipment: Person(s) condition (e.g. released from hospital on 01/12/18) or 12 kv Bravo line returned to service on 01/12/18).			
Interim Actions Taken: Temporary actions that are being taken to prevent same or similar events until final corrective actions can be identified. List both open & closed actions.			
Potential Causes: List here any cause(s) that are being explored. These are not considered to be final because the evaluation is not complete.			
OSHA Notifications:	Date:	Time:	Case#:
Status of Cause Evaluation: Check all that are completed.	<div> <input type="checkbox"/> Evaluation Team Identified <input type="checkbox"/> Analysis Conducted </div> <div> <input type="checkbox"/> Vendor Hired to Perform Evaluation <input type="checkbox"/> Report In Progress </div> <div> <input type="checkbox"/> Problem Statement Created <input type="checkbox"/> Report Completed </div> <div> <input type="checkbox"/> Interviews Scheduled <input type="checkbox"/> Report Submitted </div> <div> <input type="checkbox"/> Interviews Completed </div>		
Challenges: Also, use this section to provide any challenges, reasons for delay, outside entities' involvement, etc.			

Attachment A: Contractor Incident and Evaluation Report

Part 2b – Final Report

FOR GUIDANCE ON ANY SECTION IN THE FINAL REPORT, SEE ATTACHMENT B.

GENERAL INFORMATION

Date this report approved:

Report approved by:

Title:

Evaluation Team participants:

Name: Company: Title:		Name: Company: Title:	
Name: Company: Title:		Name: Company: Title:	
Name: Company: Title:		Name: Company: Title:	
Date of Incident:		Location of Incident	
Company of the injured worker		Description of injury	

List name of injured person	Title	Title used in the report	Years of Service	Years in Position
List name of involved person	Title	Title used in the report	Years of Service	Years in Position

Attachment A: Contractor Incident and Evaluation Report

Part 2b – Final Report

EXECUTIVE SUMMARY

[1-2 paragraphs - briefly describe who, what, where, and how the event happened, paraphrase cause and major corrective actions. No more than one page.]

PROBLEM STATEMENT

Requirement

[1-2 sentences - State the governing requirement or standard or expectation.]

Deviation

[1-2 sentences - State the deviation from the requirement.]

Consequences

[1-2 sentences - State the consequences (actual or potential) of the deviation.]

EXTENT OF CONDITIONS (EOCo)

[Perform an evaluation that focuses on identifying where the same or similar problem or condition exists or may exist with other equipment, processes, personnel, or written instructions. State the parameters of the evaluation performed and if they can be used to determine if the same or similar condition exists.

Example: We reviewed all the other work being performed for SCE (two identified Work Order# 1234AB and 5432XZ). It was determined the same equipment defect exists on Work Order# 1234AB.]

List the actions taken to address each extent of condition scenario.

- *When an extent of condition evaluation identifies more problems that are the same or similar, then develop corrective action(s) to address those problems and list in the CA Matrix section of this report.*
 - *In the event an extent of condition evaluation cannot be completed within the time period of this cause evaluation, then assign a corrective action to complete the review.*
 - *The corrective action should include direction to create additional corrective actions as identified during the extent of condition evaluation.]*
- *EOCo 1: [List the corrective action for the extent of condition identified (e.g. Defective capacitor on Work Order# 1234AB replaced with a new model capacitor on 01/22/18 and passed acceptance test).*

Attachment A: Contractor Incident and Evaluation Report

Part 2b – Final Report

SEQUENCE OF EVENTS

[Use a list or paragraph form to describe the sequence of events so the reader can get a visual picture of the timeline of events. Include times if relevant to the event and state whether the times are exact or approximate.]

ANALYSIS AND CAUSES

[Document your analysis process and the identified causes. For suggested analysis methods, see Attachment B of the EHS Handbook for Contractors]

OPERATIONAL EXPERIENCE

[Evaluate if there have been other similar events in the past 3 years while on a project for SCE and what the corrective actions were. State here if there have been similar events, the corrective actions, completion date and if they were successful or not.]

LESSONS LEARNED (Not directly related to causal factors of this evaluation)

[State the lesson learned]

Lesson Learned #1 – *[State the reasoning behind the lessons learned and the actions being taken to address the lesson learned (e.g., During the course of this evaluation it was identified that the Crane driver had an expired driver's license. This expired driver's license did not cause or contribute to the equipment failure that caused this event.)]*

Attachment A: Contractor Incident and Evaluation Report

Part 2b – Final Report

CORRECTIVE ACTION MATRIX

Cause Evaluation Element	Description	Owner/OU/Due Date
Problem Statement <i>[State the consequence, paraphrase]</i>	[State the actions taken immediately after incident]	Completed
Immediate & Interim Actions	[Describe the actions that have been taken as interim until the evaluation could be completed. If multiple actions list them all.]	[Name, Title, Due Date]
Apparent Cause (AC): <i>[State the AC, paraphrase]</i>	[Describe the action that addresses the AC in this row. Add an additional row for each AC identified. If multiple actions list them all.]	[Name, Title, Due Date]
Contributing Cause (CC): <i>[State the CC, paraphrase]</i>	[Describe the action that addresses the CC in this row. Add an additional row for each CC identified. If multiple actions list them all.]	[Name, Title, Due Date]
Extent of Condition: <i>[State the EOCo, paraphrase]</i>	[Describe the action that addresses the EOCo in this row. Add an additional row for each EOCo identified. If none state NONE]	[Name, Title, Due Date]

Attachment B: Contractor Incident and Evaluation Report

Final Report Guidance

GENERAL INFORMATION

List the date this report was approved, who approved it, and their title.

List those who participated as part of the evaluation team.

List general information about the event and persons involved in the incident:

- Date of Incident
- Location of Incident (e.g., city and general description; also state if this was an SCE facility)
- Company of the injured worker (e.g. Subcontractor ABC Company)
- Description of injury (e.g., 2nd degree burn to left side of face and left arm 3rd degree burn)
- List name of injured person, those involved with the incident (e.g. their foreman, supervisor, co-workers) including their formal work title, years of service with the company and years in position.
- List name of involved person, those directly involved with the incident (e.g. their foreman, supervisor, co-workers, planner, driver, etc.) including their formal work title, years of service with the company and years in position.
- Title used in report – Do NOT use individual names in the report, list them once in the general information section and associate their name with a title that is used through the report (e.g. Johnny Doe – Foreman #1, John Roe – Lineman #1, Jane Doe – Crane Driver #1).

EXECUTIVE SUMMARY

1-2 paragraphs - briefly describe who, what, where, and how the event happened, paraphrase cause and major corrective actions. No more than one page. State if an OSHA or CPUC or DOT notification was made, time and date.

PROBLEM STATEMENT

The Problem Statement aligns management and evaluators so they are both in agreement as to what problem is to be solved.

The Problem Statement should be brief and should include the requirement/standard/expectation, deviation, and consequences (actual and potential). The Problem Statement does NOT include the cause.

The Consequence should highlight both the actual and potential consequences.

Example:

- Requirement, Standard, or Expectation: ABC company policy is committed to ensure all employees work safely and go home in the same condition they came.
- Deviation: On 01/22/18, Foreman #1 was involved in an ABC company vehicle roll-over accident.
- Consequence: Actual: Foreman #1 (driver) sustained four stitches to his right hand and the vehicle was salvaged. Potential: The accident was severe enough that Foreman #1 could have suffered Life Threatening Injuries.

Attachment B: Contractor Incident and Evaluation Report

Final Report Guidance

IMMEDIATE ACTIONS

Immediate Corrective Action, steps taken without delay to resolve situations or conditions involving same or similar concerns, usually requires prompt attention.

Examples:

- Lineman #1 was taken by ambulance to Fairfield Hospital, treated and released with 4 stitches.
- The GCC was called and de-energizing a downed power line (12kv Bravo line in Barstow).
- Communicated the fall hazard to next two shifts (on 01/22/18) and barricaded the slippery floor with cones and safety tape.

INTERIM ACTIONS

A temporary action taken between the time a problem is discovered and when the final actions are complete to prevent or mitigate the effects of the problem, and/or minimize the probability of a repeat problem.

Examples:

- Removed the faulty Wire Cutter (SAP# 10148066) and destroyed those found on all ABC company trucks to prevent use.
- Stopped work using Chopper Truck until ABC Company workers (15 lineman) using this equipment received training from ABC Company Safety Representative on proper use of truck and associated equipment.

EXTENT OF CONDITIONS (EOCo)

Extent of Condition (EOCo) answers the question – ***where else does the same or similar problem or condition exist*** so the latent problem or condition can be eliminated or mitigated to prevent future events.

Determine where the same problem or condition exists or may exist with other equipment, processes, personnel, or written instructions. This review should be performed to the level of detail commensurate to the significance and consequence of the cause evaluation and should be performed early in the evaluation report process. For Potential Life Altering incidents, the EOCo may be bound to just this crew involved or specific equipment, for a fatality the EOCo should expand to the entire company.

Example:

A newly issued AMP Meter gives a mild shock to a technician. All newly issued AMP meters should be taken out-of-service until the cause and corrective action determined.

If a newly issued AMP Meter gives a shock and causes a fatality. All AMP meters should be taken out-of-service until the cause and corrective action determined.

Attachment B: Contractor Incident and Evaluation Report

Final Report Guidance

ANALYSIS

The value in Analysis is it organizes large amounts of data, displays the logic for presentation, ensures quality to the evaluation, ensures a thorough evaluation, and builds credibility for the analysis.

Through analysis causes and corrective actions are identified.

Causal Factors are any factors that initiate the event, contribute to its outcome, or exacerbate its consequences. Causal factors are those actions, conditions, or events that directly or indirectly influence the outcome of a situation or problem.

Causes should have the following criteria:

- The problem would not have occurred had the cause(s) not been present.
- The problem will not recur due to the same cause(s) if the cause(s) are corrected or eliminated.
- Correction or elimination of the cause(s) will prevent occurrence of similar conditions.
- The magnitude of the incident would have been significantly less if the cause(s) had not been present.

Analysis Types

The depth of analysis is dependent on the actual and potential consequences of the event.

- Potential and actual Life Threatening or Life Alerting incidents require at least two types of analysis to ensure different perspectives are used to identify causal factors (e.g. Barrier Analysis and Event & Causal Factor Analysis are the two most widely used analysis types)
- Lesser injuries such as Minor Injury would only require one type of analysis (e.g. usually Standard Cause Evaluation Tool)

Note: Interviewing, data review, training document reviews, troubleshooting, records review, equipment testing, etc. are not analysis – this is how we start gathering information to determine “WHY” the Equipment Failed or Undesired Action/Condition occurred.

Event & Causal Factor Analysis (ECFA)

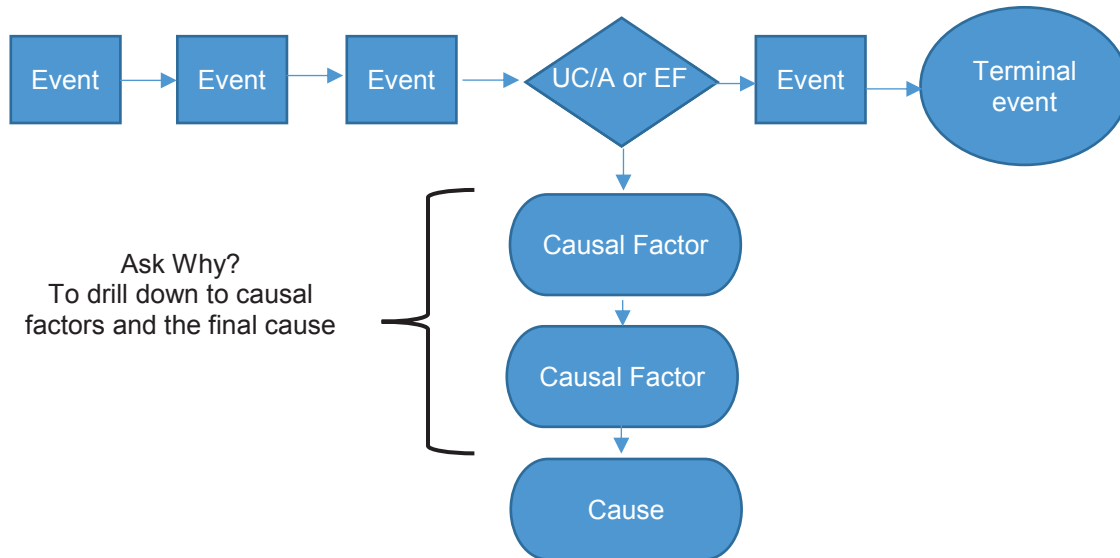
ECFA is a flow chart-based analysis method that uses symbols and directional flow lines to reconstruct the event by defining the sequence of events, Equipment Failure or Undesired Action/Condition, causal factors and causes (aka ECFA Chart).

How to perform an Event & Causal Factor Analysis:

- 1) Gather initial information, sequence of events and data
- 2) Define the Terminal Event (e.g. the injury or when the injured person is in a safe condition such as at the hospital)
- 3) Construct a preliminary timeline of steps leading up to the Terminal Event
- 4) Identify the Undesired Action/Condition (UC/A) or Equipment Failure (EF)
- 5) Identify the Causal Factors for each UC/A or EF
- 6) Determine the Cause(s) by asking “WHY” – Apparent Cause(s), Contributing Cause(s)
- 7) Determine corrective action(s) for each cause.

Attachment B: Contractor Incident and Evaluation Report Final Report Guidance

Example ECFA Chart



Barrier Analysis

How to perform a barrier analysis:

- 1) Become Familiar with The Task/Activity
- 2) Determine those barriers/controls that should have caused/directed proper performance
- 3) Analyze to determine why they were ineffective
- 4) Evaluate potential missing barriers/controls that would have prevented the event
- 5) Determine “WHY” those barriers/controls did not exist

Barrier or control - any device, measure, or process that does one of the following:

- Promotes appropriate actions or conditions
- Prevents/Discourages/Detects/Compensates for Undesired Actions/Conditions or Equipment Failures

A table can be effective to demonstrate a barrier analysis. For example:

Consequence	Barrier analyzed	Effective or Ineffective and Why
Vehicle Accident	Company Policies	Effective: The company has policies that restrict employees from using cell phones while driving
	Policy Use	Ineffective: The employee did not adequately apply the company policy.
	Qualification	Weak: The employee was qualified (life time qualification) 20 years ago on use of this vehicle, but has not driven this vehicle in the last 15 years.

Attachment B: Contractor Incident and Evaluation Report Final Report Guidance

Other barriers to consider:

Change Management	Job Hazard Analysis	Barrier tape
Policies and Practices	Supervisory Oversight	Pre-job walk-down
Work Orders	Tailboards	Regulations
Qualifications	Training	Ground fault protective devices
Formal communications	Procedures/Guidelines	Job preparation
Specifications	Verification practices	Management Expectations

Standard Cause Evaluation Tool

The analysis assesses people, process, and equipment break-downs from an *individual, supervisory, program, procedure, and equipment failure* perspective and includes typical breakdowns associated with each area.

The analysis starts on the left with individual performance, progress through supervisor, plan/procedure performance, to program/process performance as one ask question moving to the right. Equipment failures are also addressed.

Once a cause is identified (a YES), then perform a “WHY” Analysis

In some cases, there may be an individual performance issue that is not caused by a problem in one of the columns to the right. In cases like this, the performance issue is best dealt with in the performance management system, like coaching.

Asking “WHY” Analysis is the art of systematically drilling down to an actionable cause. It’s a simple, yet effective way to determine the apparent cause in almost any situation. You must approach this analysis step by step with logical questions summarizing the observations from earlier questions. Essentially, you can find the apparent cause of a problem and show the relationship of causes by repeatedly asking the question, “Why?”

Another critical point in this analysis is knowing when to stop asking why. Knowing when to stop mostly depends on three questions:

1. How relevant are the questions and answers to the original problem you are investigating?
2. Did you find a cause that helps you control or prevent the problem?
3. Are the questions and answers significant enough, considering your problem statement?

The Standard Cause Evaluation Tool is useful in determining the Apparent Cause of an incident and stops when an individual/supervisor, procedure or process performance issue is identified. This is in contrast to a Root Cause, which identifies organizational and programmatic causes as when a fatality occurs.

Attachment B: Contractor Incident and Evaluation Report

Final Report Guidance

STANDARD EVALUATION TOOL

HU - People				Procedures / Process / Programs		Equipment Performance	
Individual Performance		Supervisory Performance		Plan/Procedure Owner Performance	Program/Process Manager Performance	Equipment Failures & Malfunctions	
Skills/Knowledge		Job Assignment		Work Plan/Procedure	Program/Process	Maintenance Requirements	
The individual did not have or demonstrate the technical or process skills/knowledge necessary to do the job.		The supervisor/manager did not assign an individual with the skills/knowledge necessary to do the job.		The work plan or procedure contained incorrect technical or process information.	No or inadequate program/process level procedures/standards.	Preventive Maintenance is required and not being performed	
Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No		Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No		Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No	Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No	Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Core Competency		Job Direction		Incomplete Work Plan/Procedure	Inadequate Program/ Process Infrastructure	Maintenance Frequency	
The individual did not have or demonstrate a core competency necessary to do the job such as: task management, problem solving, etc.		The supervisor/manager did not give adequate job direction, clearly state expectations, or provide information critical to doing the job.		The work plan or procedure did not contain requirements, actions, or information necessary to do the job.	Tools, materials, and/or expertise did not exist, or were inadequate to support program/process implementation such as software, specialty tools, etc.	Required preventive maintenance not performed at appropriate periodicity	
Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No		Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No		Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No	Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No	Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Plan/Procedure/Rule Use		Job Monitoring/Reinforcement		Inappropriate Detail/Complexity	Inadequate Communication Methods	Maintenance Adequacy	
The individual did not adhere to the work plan, procedure, or rules.		The supervisor/manager did not adequately monitor the job to identify and resolve performance issues and reinforce expectations.		The work plan or procedure lacked detail or was overly complex making it difficult/confusing to implement and achieve results.	Communication paths/methods did not exist, or were inadequate to consistently communicate information across divisions, programs, and/or processes.	Maintenance performed did not detect deficient conditions	
Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No		Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No		Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No	Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No	Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Failure to Provide Information		Jobsite Condition/Task Preview		Inadequate Defense in Depth	Inadequate Training/Qualification	Maintenance Procedures	
The individual did not provide timely, clear, accurate, or complete verbal/ documented information necessary to do the job.		The supervisor/manager did not mitigate error precursors, such as task demands, work environment, individual capabilities, and human nature.		The work plan or procedure did not have Defense-in-Depth to compensate for human performance issues, such as data entry points, 2 nd verifications, etc.	Training and/or qualifications did not exist, or was inadequate to support acceptable performance given job complexity.	Maintenance procedure not correct or is inadequate	
Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No		Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No		Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No	Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No	Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Failure to Obtain Information						Maintenance Practices	
The individual did not seek timely, clear, accurate, or complete verbal/ documented information necessary to do the job.						Maintenance performed improperly (HU issue)	
Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No						Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Cause(s) - Other							
HU Cause - Other		<div> <p>Use the "Cause - Other" category for those causes that do not align with a pre-defined cause category. State if the cause is Human Performance or Equipment Performance (if applicable). Describe cause in one sentence (similar to pre-defined causes) and provide facts and analysis that support the cause conclusion.</p> </div>					
Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No							
Equipment Cause - Other							
Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No							
Operational Performance							
Operating procedures or practices inappropriate for this equipment							
Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No							
Design Review							
Equipment design or configuration inadequate							
Cause? <input type="checkbox"/> Yes <input type="checkbox"/> No							

Document the cause(s). For human performance incidents document the person's response in your evaluation (analysis section), as to *"WHY they behaved the way they did."*

Attachment B: Contractor Incident and Evaluation Report

Final Report Guidance

STANDARD EVALUATION TOOL

Using the Standard Evaluation Tool

The Standard Evaluation Tool assesses human performance, process, program, procedure and/or equipment failures from a “people” (individual, supervisory), “procedure/program/process” or “equipment” perspective and includes typical breakdowns associated with each area.

Start the analysis on the left with individual performance, and progress to the right.

Answer each **Yes/No** question.

Once a causal factor is identified (a **YES**), then perform a WHY Analysis, simply ask WHY until a fixable cause is identified.

For Human Performance incidents, when a **YES** is identified then ask WHY until you get to one of the “Human Performance Error Precursors” listed below, then ask WHY one more time, this is your cause.

Document the person’s response in your evaluation, as to “WHY they behaved the way they did.”

Human Performance Error Precursors

Task Demands (HPT) <ol style="list-style-type: none">1. Time Pressure2. High workload3. Simultaneous, Multiple tasks4. Repetitive actions/monotony5. Irrecoverable Actions6. Interpretation Requirements7. Unclear goals, roles, or responsibilities8. Lack of/unclear standards	Individual Capabilities (HPI) <ol style="list-style-type: none">1. Unfamiliar with task/first time2. Lack of knowledge3. New technique not used before4. Imprecise communication habits5. Lack of proficiency/inexperience6. Indistinct problem solving skills7. Can do attitude for crucial task8. Illness or fatigue
Work Environment (HPW) <ol style="list-style-type: none">1. Distractions/Interruptions2. Changes/departure from routine3. Confusing displays/controls4. Work around/OOS instrumentation5. Hidden system response6. Unexpected equipment conditions7. Lack of alternate indication8. Personality conflicts	Human Nature (HPH) <ol style="list-style-type: none">1. Stress2. Habit patterns3. Assumptions4. Complacent/overconfidence5. Mind set (intentions)6. Inaccurate risk perception7. Mental shortcuts (biases)8. Limited short term memory

Other Analysis Types

Support/Refuting Analysis	Failure Modes & Effects Analysis
Kepner-Tregoe Analysis	Tap Root analysis
Process Analysis	Management Oversight and Risk Tree (M.O.R.T.)
Task Analysis	Fault Tree Analysis
Change Analysis	Comparative Time Line

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CORRECTIVE ACTIONS

Measures taken to correct an adverse condition and to minimize the potential for recurrence of the condition. Corrective Actions alleviate symptoms of a problem or to eliminate or diminish causes of problems. They generally have to change design or behavior.

Corrective Actions should always be SMART. If the incident resulted in severe consequences, then the Corrective Action should be SMARTS:

- **Specific** - do you know exactly what you expect, would a reasonable reviewer arrive at the same expectation, can you determine that you are done?
- **Measurable** - is there a number, a level of quality, an object or analysis that you can point to?
- **Achievable** - is 100% error-free performance achievable?
- **Realistic** - does it place undue stress on the organization, would it pass a cost benefit test, are the corrective actions within the capability of the organization to implement, does it allow the organization to continue to meet its primary objective of safe, reliable, clean, efficient power generation?
- **Timely** - does it consider the next threat, does it consider the risk associated with non-performance?
- **Sustainable** - is it captured programmatically, can it be easily removed or undone, will you be able to tell it is still there in two years?

Corrective Actions within the CA Matrix addresses four (4) aspects:

- Restores the condition
- Addresses immediate & interim actions until final actions are put in place
- Prevents or mitigates the cause
- Addresses Extent of Condition

Corrective Actions shall have an owner and a due date.

Corrective Actions have a range of effectiveness

Most effective	Design to minimize energy	Low human interaction
	Physical barriers/safety device	
	Warning device, signs & signals	
	Protective procedures	
	Training	
	Tailboards	
Least effective	Email communication	High human interaction
	Accept the risk	

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DEFINITIONS

Apparent Cause:	The reason for an Equipment Failure or Undesired Result based on available evidence and facts. If corrected, then an apparent cause should reduce the probability of repeating the same or similar events, incident or problems. Apparent causes are not discussed in or part of Root Cause Evaluations.
Barrier:	Is an item that reduces or is intended to reduce the adverse impact of a threat or hazard on a target. Four elements: <ul style="list-style-type: none"> • Effective barrier: Is a barrier that was in place to protect the object. • Missing barrier: Is a barrier that was not in place to protect the object. • Weak barrier: Is a barrier whose effectiveness is compromised to one extent or another through poor design, degradation, and misapplication for the object it is protecting. • Ineffective barrier: Is a barrier that did not work to provide protection from the object it is protecting.
Business Action:	An action assigned as part of an issue that is not a corrective action, corrective action to prevent recurrence, or effectiveness review.
Contributing Cause (CC):	A cause contributing to an event or problem or making the event or problem more difficult to detect, but one that singularly by its elimination would not have prevented the event or problem.
Corrective Action:	Measures taken to correct an adverse condition and to minimize the potential for recurrence of the condition. Measures taken to alleviate symptoms of a problem or incident to eliminate or diminish causes of problems.
CPUC Reportable Incident:	The CPUC defines reportable injuries as those that meet any of the following criteria: <ul style="list-style-type: none"> • Fatality or personal injury rising to the level of in-patient hospitalization; • Are the subject of significant public attention or media coverage; or • Damage to property of the utility or others estimated to exceed \$50,000 and are attributable or allegedly attributable to utility owned facilities.
DART Injury:	(Days Away, Restrictions and Transfers) An injury resulting in lost time, restricted duties, or transfer of the employee.
Direct Cause	The immediate reason of an event, accident or an injury.
DOT-Reportable Incidents	During the course of transportation in commerce (including loading, unloading, and temporary storage) as a direct result of a hazardous material: <ul style="list-style-type: none"> • A person is killed. • A person receives an injury requiring admittance to a hospital. • The general public is evacuated for a one hour or more. • A major transportation artery or facility is closed or shut down for one hour or more or the operational flight pattern or routine of an aircraft is altered. • Fire, breakage, spillage, or suspected radioactive contamination occurs involving a radioactive material. • Fire, breakage, spillage, or suspected contamination occurs involving an infectious substance other than a diagnostic specimen or regulated medical waste. • A release of a marine pollutant in a quantity exceeding 450 L (119 gallons) for liquids or 400 kg (882 pounds) for solids; or a situation exists

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	<p>of such a nature (e.g., a continuing danger to life exists at the scene of the incident) that, in the judgment of the person in possession of the hazardous material, it should be reported to the National Response Center even though it does not meet these criteria.</p> <p>An unintentional release of a hazardous material from a package (including a tank) or any quantity of hazardous waste that has been discharged during transportation.</p>
Equipment Failure (EF):	An equipment malfunction or cessation of normal operation that results in an unintended condition.
Extent of Condition (EOCo):	The extent to which the problem identified currently exists or is at risk of experiencing the same or similar consequences as the event or problem being evaluated.
First Aid Incident:	Can be described as an injury, illness or incident requiring medical attention that is usually administered immediately after the injury occurs and at the location where it occurred, and often consists of a one-time, short-term treatment and requires little technology or training to administer. First aid can include cleaning minor cuts, scrapes, or scratches; treating a minor burn; applying bandages and dressings; the use of non-prescription medicine; and drinking fluids to relieve heat stress.
Hazardous Material Release:	Any incident involving a release of potentially hazardous material and/or unauthorized substance into the air, ground, storm drain, waterways, etc., or any action that violates Federal, State, or local environmental laws and regulations and results in an actual or potential regulatory response. Any release that requires reporting to any Federal and/or State agency is considered an environmental incident. The reporting requirement applies only to incidents that occur in the course of performing authorized contracted work and/or services on behalf of SCE.
Immediate Action:	An action taken immediately upon discovery of an event or problem to make the situation safe.
Interim Action:	A temporary action taken between the time a problem or incident is discovered and when the final actions are complete to prevent or mitigate the effects of the problem, and/or minimize the probability of a repeat problem.
Life Altering Incidents:	Injury, illness or fatality occurring in a place of employment, or in connection with employment, requiring immediate life-preserving rescue action, that if not applied in an immediate fashion, would likely result in the death of that person. These cases usually require the intervention of emergency response personnel to provide life-saving support. Some common examples would include significant blood loss, damage to the brain or spinal cord, use of CPR or AED, chest or abdominal trauma affecting vital organs, and serious burns (3rd degree over a major portion of the body).
Life Threatening Incidents:	Injury, illness or fatality occurring in a place of employment, or in connection with employment, resulting in a permanent and significant loss of a major body part or organ function; permanently changes or disables normal life activity; or requires inpatient hospitalization for a period in excess of 24 hours for other than medical observation. Some examples include significant head injuries, spinal cord injuries, paralysis, major amputations, catastrophic fractured bones, and serious burns (highly visible such as to the face or neck).
Minor Injury/Illness:	Injury, illness or incident that is not Life Threatening or altering but requires more attention than just First Aid.

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Potential Life Threatening or Altering Incidents:	An injury or illness or possible injury/illness that is more likely to occur than NOT to occur had the circumstances been different. A potential incident would violate one of the “Rules That Will Keep You Alive”, but does not include an accident on a public street or highway, psychological stress, event where noise exposure is sole stressor, slip/trip/fall at ground level, or physical over-exertion (sprain/strain).
OSHA-Recordable Injury:	(Occupational Safety and Health Administration) Work-related injuries and illnesses (including lost time injuries) that result in loss of consciousness, restricted duty, job transfer, medical treatment beyond first aid, fatality or a significant injury or illness diagnosed by a physician or other licensed health care professional.
Problem:	An event, incident, failure, deficiency, or trend involving equipment, human performance, or programs contrary to good business practices or regulatory requirements.
Property Damage Incident:	Any incident involving loss and/or damage to SCE owned or non-SCE owned property. The reporting requirement applies only to incidents that occur in the course of performing authorized contracted work and/or services on behalf of SCE.
Root Cause:	The most fundamental reason for an event or problem and, if eliminated or controlled, will prevent recurrence of the event or problem and similar events or Problems
SCE	Southern California Edison
Serious Injury:	Any injury or illness (including death) occurring in a place of employment or in connection with any employment which requires inpatient hospitalization for a period in excess of 24 hours for other than medical observation or in which an employee suffers a loss of any member of the body or suffers any serious degree of permanent disfigurement.
Undesired Actions/Conditions (UA/C):	An action taken, or action NOT taken, that results in an unintended condition, nonconformance, or noncompliance.
Operating Experience (OE):	Data sets that include examples of company and industry events and lesson learned.

Attachment C: Handbook for Contractors Checklist

The purpose of the Handbook for Contractors Checklist is to provide an outline of Contractor requirements contained in the EHS Handbook for Contractors that the Tier 1 and Tier 2 Edison Representative is to review with the Contractor Representative prior to the start of work.

Project Name:			Edison Representative:		
Purchase Order #:			Project Location:		
Anticipated Start and Completion Dates:	Click or tap to enter a date.	Click or tap to enter a date.	Contractor Company:		
Contractor Representative:	Name		Contractor's Safety Professional:	Name	
	Phone			Phone	
	Email			Email	
Scope of the Project:					Check if Source Contract Work: <input type="checkbox"/>

Tier 1 and Tier 2 Work – Review and obtain agreement for Tier 1 and Tier 2 work on the following requirements contained in the EHS Handbook for Contractors:

- ☐ Introduction
 - The purpose of the Handbook for Contractors
 - SCE's Environmental, Health, and Safety Policy
 - Safety Performance Policy
 - Principles of Operation
 - Contractor Safety Standard
- ☐ General Expectations
 - Applicability
 - Expectations for Tier 1 and Tier 2 Contractors
 - SCE Stop Work Authorization and Inspection
- ☐ Emergency Response
- ☐ Incident Reporting
- ☐ Additional Reporting Requirements
- ☐ Environmental Requirements

Tier 1 Work – Review and obtain agreement for Tier 1 work only on the following requirements contained in the EHS Handbook for Contractors:

- ☐ Safety Performance and Program Review of Tier 1 Contractors/Subcontractors
- ☐ Expectations for Tier 1 Contractors
- ☐ General Expectations for Tier 1 Contractors
 - Supervisor in Charge Requirement
 - Safety professional for 50 Employees Requirement
 - CSQARs Cooperation Requirement
 - New Employee Oversight Requirement
 - New Employee Training Requirement
- ☐ Use of Subcontractors to Perform Tier 1 Work

- ☐ Contractor Orientation for Tier 1 Contractors
 - Hazard Assessment
 - Project/Site-Specific EHS Plan
 - Handbook for Contractors Checklist
- ☐ JHA Requirement at Active Construction Sites
- ☐ General Health and Safety Requirements
- ☐ Confined Space Entry
- ☐ Fall Protection
- ☐ Fire Prevention
- ☐ Hazard Communication
- ☐ Heat Illness Prevention
- ☐ Hot Work
- ☐ Housekeeping Cleanup
- ☐ Industrial Hygiene
 - Asbestos
 - Lead
 - Noise
 - Non-Ionizing Radiation Except EMF
 - Potential Radio Frequency Energy Exposures at SCE Facilities
- ☐ Lockout/Tagout
- ☐ Trenching and Excavations
- ☐ Use and Operation of SCE Facilities, Materials, Equipment, and Vehicles
- ☐ Work Area Protection and Traffic Control
- ☐ High Voltage Work

By signing this document, the **Contractor Representative** affirms that he or she understands the items contained in the Handbook for Contractors Checklist and will ensure compliance with the requirements contained in the EHS Handbook for Contractors.

Contractor Representative

Printed Name:

Date: Click or tap to enter a date.

Signature:

By signing this document, the **Edison Representative** affirms that he or she has reviewed this document with the Contractor Representative.

Edison Representative

Printed Name:

Date: Click or tap to enter a date.

Signature: